

Fill in this information to identify your case:

United States Bankruptcy Court for the:

DISTRICT OF DELAWARE

Case number (if known)

Chapter 11☐ Check if this an amended filing

Official Form 201

Voluntary Petition for Non-Individuals Filing for Bankruptcy

04/20

If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and the case number (if known). For more information, a separate document, *Instructions for Bankruptcy Forms for Non-Individuals*, is available.

1. Debtor's name Avadim Health, Inc.
2. All other names debtor used in the last 8 years
Include any assumed names, trade names and doing business as names
FKA Avadim Technologies, Inc.
FKA Avadim LLC
DBA Theraworx
3. Debtor's federal Employer Identification Number (EIN) 46-3578411
4. Debtor's address

| | |
|---|---|
| Principal place of business <u>81 Thompson Street</u> <u>Asheville, NC 28803</u> Number, Street, City, State & ZIP Code <u>Buncombe</u> County | Mailing address, if different from principal place of business P.O. Box, Number, Street, City, State & ZIP Code Location of principal assets, if different from principal place of business Number, Street, City, State & ZIP Code |
|---|---|
5. Debtor's website (URL) www.avadimhealth.com
6. Type of debtor

☒ Corporation (including Limited Liability Company (LLC) and Limited Liability Partnership (LLP))
☐ Partnership (excluding LLP)
☐ Other. Specify: _____

Debtor **Avadim Health, Inc.**
Name

Case number (if known)

7. Describe debtor's business A. Check one:

- ☐ Health Care Business (as defined in 11 U.S.C. § 101(27A))
- ☐ Single Asset Real Estate (as defined in 11 U.S.C. § 101(51B))
- ☐ Railroad (as defined in 11 U.S.C. § 101(44))
- ☐ Stockbroker (as defined in 11 U.S.C. § 101(53A))
- ☐ Commodity Broker (as defined in 11 U.S.C. § 101(6))
- ☐ Clearing Bank (as defined in 11 U.S.C. § 781(3))
- ☒ None of the above

B. Check all that apply

- ☐ Tax-exempt entity (as described in 26 U.S.C. § 501)
- ☐ Investment company, including hedge fund or pooled investment vehicle (as defined in 15 U.S.C. § 80a-3)
- ☐ Investment advisor (as defined in 15 U.S.C. § 80b-2(a)(11))

C. NAICS (North American Industry Classification System) 4-digit code that best describes debtor.
See <http://www.uscourts.gov/four-digit-national-association-naics-codes>.

3399

8. Under which chapter of the Bankruptcy Code is the debtor filing?

Check one:

- ☐ Chapter 7
- ☐ Chapter 9

☒ Chapter 11. Check all that apply:

A debtor who is a "small business debtor" must check the first sub-box. A debtor as defined in § 1182(1) who elects to proceed under subchapter V of chapter 11 (whether or not the debtor is a "small business debtor") must check the second sub-box.

- ☐ The debtor is a small business debtor as defined in 11 U.S.C. § 101(51D), and its aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,725,625. If this sub-box is selected, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return or if any of these documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B).
- ☐ The debtor is a debtor as defined in 11 U.S.C. § 1182(1), its aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$7,500,000, **and it chooses to proceed under Subchapter V of Chapter 11**. If this sub-box is selected, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return, or if any of these documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B).
- ☐ A plan is being filed with this petition.
- ☐ Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b).
- ☐ The debtor is required to file periodic reports (for example, 10K and 10Q) with the Securities and Exchange Commission according to § 13 or 15(d) of the Securities Exchange Act of 1934. File the *Attachment to Voluntary Petition for Non-Individuals Filing for Bankruptcy under Chapter 11* (Official Form 201A) with this form.
- ☐ The debtor is a shell company as defined in the Securities Exchange Act of 1934 Rule 12b-2.

☐ Chapter 12

9. Were prior bankruptcy cases filed by or against the debtor within the last 8 years?

- ☒ No.
- ☐ Yes.

If more than 2 cases, attach a separate list.

District

When

Case number

District

When

Case number

10. Are any bankruptcy cases pending or being filed by a business partner or an affiliate of the debtor?

- ☐ No
- ☒ Yes.

List all cases. If more than 1, attach a separate list

Debtor

See Rider 1

Relationship

Affiliate

District

Delaware

When

Case number, if known

Debtor **Avadim Health, Inc.**
Name

Case number (if known)

11. Why is the case filed in this district?

Check all that apply:

- ☒ Debtor has had its domicile, principal place of business, or principal assets in this district for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other district.
- ☐ A bankruptcy case concerning debtor's affiliate, general partner, or partnership is pending in this district.

12. Does the debtor own or have possession of any real property or personal property that needs immediate attention?☒ No☐ Yes. Answer below for each property that needs immediate attention. Attach additional sheets if needed.**Why does the property need immediate attention?** (Check all that apply.)☐ It poses or is alleged to pose a threat of imminent and identifiable hazard to public health or safety.

What is the hazard?

☐ It needs to be physically secured or protected from the weather.☐ It includes perishable goods or assets that could quickly deteriorate or lose value without attention (for example, livestock, seasonal goods, meat, dairy, produce, or securities-related assets or other options).☐ Other**Where is the property?**

Number, Street, City, State & ZIP Code

Is the property insured?☐ No☐ Yes. Insurance agency

Contact name

Phone

Statistical and administrative information**13. Debtor's estimation of available funds**

Check one:

- ☒ Funds will be available for distribution to unsecured creditors.
- ☐ After any administrative expenses are paid, no funds will be available to unsecured creditors.

14. Estimated number of creditors☐ 1-49☐ 50-99☐ 100-199☒ 200-999☐ 1,000-5,000☐ 5001-10,000☐ 10,001-25,000☐ 25,001-50,000☐ 50,001-100,000☐ More than 100,000**15. Estimated Assets**☐ \$0 - \$50,000☐ \$50,001 - \$100,000☐ \$100,001 - \$500,000☐ \$500,001 - \$1 million☐ \$1,000,001 - \$10 million☒ \$10,000,001 - \$50 million☐ \$50,000,001 - \$100 million☐ \$100,000,001 - \$500 million☐ \$500,000,001 - \$1 billion☐ \$1,000,000,001 - \$10 billion☐ \$10,000,000,001 - \$50 billion☐ More than \$50 billion**16. Estimated liabilities**☐ \$0 - \$50,000☐ \$50,001 - \$100,000☐ \$100,001 - \$500,000☐ \$500,001 - \$1 million☐ \$1,000,001 - \$10 million☐ \$10,000,001 - \$50 million☐ \$50,000,001 - \$100 million☒ \$100,000,001 - \$500 million☐ \$500,000,001 - \$1 billion☐ \$1,000,000,001 - \$10 billion☐ \$10,000,000,001 - \$50 billion☐ More than \$50 billion

Debtor **Avadim Health, Inc.**
Name

Case number (if known)

Request for Relief, Declaration, and Signatures**WARNING** -- Bankruptcy fraud is a serious crime. Making a false statement in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.**17. Declaration and signature
of authorized
representative of debtor**

The debtor requests relief in accordance with the chapter of title 11, United States Code, specified in this petition.

I have been authorized to file this petition on behalf of the debtor.

I have examined the information in this petition and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on **May 31, 2021**
MM / DD / YYYY**X /s/ Keith Daniels**

Signature of authorized representative of debtor

Keith Daniels

Printed name

Title **Chief Restructuring Officer****18. Signature of attorney****X /s/ Laura Davis Jones**

Signature of attorney for debtor

Date **May 31, 2021**

MM / DD / YYYY

Laura Davis Jones

Printed name

Pachulski Stang Ziehl & Jones LLP

Firm name

919 North Market Street**17th Floor****Wilmington, DE 19801**

Number, Street, City, State & ZIP Code

Contact phone **302-652-4100**

Email address

2436 DE

Bar number and State

Rider 1 to Voluntary Petition

On the date hereof, each of the affiliated entities listed below, including the debtor in this chapter 11 case (collectively, the “Debtors”), filed a petition in this Court for relief under chapter 11 of title 11 of the United States Code.

Avadim Health, Inc.

Avadim Health IP, Inc.

Bionome Properties Corp.

Quality Assurance Associates, Inc.

Relion Manufacturing, Inc.

RESOLUTIONS OF THE BOARD OF AVADIM HEALTH, INC.

WHEREAS, the Board of Directors (the “**Board**”) of AVADIM HEALTH, INC. (the “**Company**”), a Delaware corporation, acting pursuant to the laws of the State of Delaware, has considered the financial and operational aspects of the Company’s business;

WHEREAS, the Board has reviewed the historical performance of the Company, the market for the Company’s products and services, and the current and long-term liabilities of the Company;

WHEREAS, the Board has, over the last several months, reviewed the materials presented by the management of and the advisors to the Company regarding the possible need to restructure the Company, and has analyzed each of the strategic alternatives available to it, and the impact of the foregoing on the Company’s business and its stakeholders;

NOW, THEREFORE, BE IT RESOLVED, that in the judgment of the Board, it is desirable and in the best interests of the Company, its creditors, employees, stockholders and other interested parties that a petition be filed by the Company seeking relief under the provisions of chapter 11 of title 11 of the United States Code (the “**Bankruptcy Code**”);

RESOLVED, that the officers of the Company (each, an “**Authorized Officer**”) be, and each of them hereby is, authorized on behalf of the Company to execute, verify and file all petitions, schedules, lists, and other papers or documents, and to take and perform any and all further actions and steps that any such Authorized Officer deems necessary, desirable and proper in connection with the Company’s chapter 11 case, with a view to the successful prosecution of such case;

RESOLVED, that the Authorized Officers, on behalf of the Company, are authorized, empowered and directed to retain the law firm of Pachulski Stang Ziehl & Jones LLP (“**PSZ&J**”) as bankruptcy co-counsel to represent and assist the Company in carrying out its duties under chapter 11 of the Bankruptcy Code, and to take any and all actions to advance the Company’s rights in connection therewith, and the Authorized Officers are hereby authorized and directed to execute appropriate retention agreements, pay appropriate retainers prior to and immediately upon the filing of the bankruptcy, and to cause to be filed an appropriate application for authority to retain the services of PSZ&J;

RESOLVED, that the Authorized Officers, on behalf of the Company, are authorized, empowered and directed to retain the law firm of Chapman and Cutler LLP (“**Chapman**”) as bankruptcy co-counsel, and the Authorized Officers are hereby authorized and directed to execute appropriate retention agreements, pay appropriate retainers prior to and immediately upon the filing of the bankruptcy, and to cause to be filed an appropriate application for authority to retain the services of Chapman;

RESOLVED, that the Authorized Officers, on behalf of the Company, are authorized, empowered and directed to retain the services of Carl Marks Advisors (“**CMA**”) as the Company’s restructuring advisor, effective as of the date the petition is filed, and in connection therewith, the Authorized Officers are hereby authorized and directed to execute

appropriate retention agreements, pay appropriate retainers prior to and immediately upon the filing of the bankruptcy, and to cause to be filed an appropriate application for authority to retain the services of CMA;

RESOLVED, that Keith Daniels of CMA has been appointed, effective as of May 5, 2021, to serve as Chief Restructuring Officer of the Company, shall be an Authorized Officer (as defined in these resolutions), and is hereby authorized to make decisions with respect to all aspects of the management and operation of the Company's business including, without limitation, organization, human resources, marketing, sales, logistics, finance, administration, oversight, of the prosecution of the Company's bankruptcy case, including, but not limited to, bankruptcy-related reporting requirements, filing of Statement of Financial Affairs, Schedule of Assets and Liabilities, a chapter 11 plan and related disclosure statement, claims management, managing outside professionals and such other aspects as he may identify, in such manner as he deems necessary or appropriate in his sole and reasonable discretion consistent with the business judgment rule, subject only to appropriate governance by the Board, in accordance with the Company's certificate of formation, company agreement, applicable laws and applicable bankruptcy law and order of the Court;

RESOLVED, that the Authorized Officers, on behalf of the Company, are authorized, empowered and directed to retain SSG Capital Advisors, LLC ("SSG") as the Company's investment bank, and the Authorized Officers are hereby authorized and directed to execute appropriate retention agreements, pay appropriate retainers prior to SSG, and to cause to be filed an appropriate application for authority to retain the services of SSG;

RESOLVED, that the Authorized Officers, on behalf of the Company, are authorized, empowered and directed to retain Omni Agent Solutions ("Omni") as the Company's claims and noticing agent, and the Authorized Officers are hereby authorized and directed to execute appropriate retention agreements, pay appropriate retainers prior to Omni, and to cause to be filed an appropriate application for authority to retain the services of Omni;

RESOLVED, that the Authorized Officers of the Company be, and hereby are, authorized and directed to employ any other professionals necessary to assist the Company in carrying out its duties under the Bankruptcy Code; and in connection therewith, the officers of the Company are hereby authorized and directed to execute appropriate retention agreements, pay appropriate retainers prior to or immediately upon the filing of the chapter 11 case and cause to be filed appropriate applications with the bankruptcy court for authority to retain the services of any other professionals, as necessary, and on such terms as are deemed necessary, desirable and proper;

RESOLVED, that in the judgment of the Board it is desirable and in the best interests of the Company that the Company sell substantially all of its assets and, therefore, the Company is hereby authorized to enter into an asset purchase agreement (a form of which has been provided to the Board, together with any changes, revisions, amendments and/or additions as management of the Company determines appropriate) to effectuate such sale on such terms that management determines will maximize value, and the Company is further authorized to file a motion to approve such sale and for any related relief, or to approve a sale to a higher and

better bidder, and to close such sale, subject to Bankruptcy Court approval in the Company's chapter 11 proceeding;

RESOLVED, that the Authorized Officers of the Company be, and each of them hereby is, authorized and empowered to obtain post-petition financing according to terms which may be negotiated by the management of the Company, including under debtor-in-possession credit facilities (a form of which has been provided to the Board, together with any changes, revisions, amendments and/or additions as management of the Company determines appropriate) or the use of cash collateral; and to enter into any guaranties and to pledge and grant liens on its assets as may be contemplated by or required under the terms of such post-petition financing or cash collateral agreement; and in connection therewith, the Authorized Officers of the Company are hereby authorized and directed to execute appropriate loan agreements, cash collateral agreements and related ancillary documents;

RESOLVED, that the Authorized Officers be, and each of them hereby is, authorized on behalf of the Company to take any and all actions, to execute, deliver, certify, file and/or record and perform any and all documents, agreements, instruments, motions, affidavits, applications for approvals or rulings of governmental or regulatory authorities or certificates and to take any and all actions and steps deemed by any such Authorized Officer to be necessary or desirable to carry out the purpose and intent of each of the foregoing resolutions and to effectuate a successful chapter 11 case, including, but not limited to the development, filing and prosecution to confirmation of a chapter 11 plan and related disclosure statement; and

RESOLVED, that any and all actions heretofore taken by any Authorized Officer or the directors of the Company in the name and on behalf of the Company in furtherance of the purpose and intent of any or all of the foregoing resolutions be, and hereby are, ratified, confirmed, and approved in all respects;

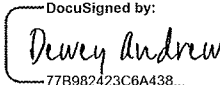
RESOLVED, that in connection with the commencement of the chapter 11 case by the Company, the Authorized Officers be and hereby are, authorized and empowered on behalf of, and in the name of, the Company, to negotiate, execute and deliver a cash collateral arrangement and/or debtor-in-possession loan facility and the related guarantees thereto (including, in connection therewith, such notes, security agreements and other agreements or instruments as such officers consider appropriate) on the terms and conditions such officer or officers executing the same may consider necessary, proper or desirable, such determination to be conclusively evidenced by such execution or the taking of such action, and to consummate the transactions contemplated by such agreements or instruments on behalf of the Company and any affiliates.

[Signature Page Follows]

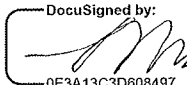
DocuSign Envelope ID: 78856C68-6A17-4476-B4CC-1417FCF156E6

AVADIM HEALTH, INC.

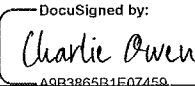
DIRECTORS

DocuSigned by:

77B982423C6A438...

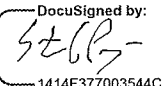
Dewey Andrew

DocuSigned by:

0F3A13C3D608497...

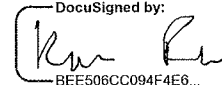
Linda McGoldrick

DocuSigned by:

A9B3865B1E07459...

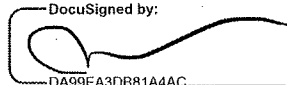
Charles Owen III

DocuSigned by:

1414F377003544C...

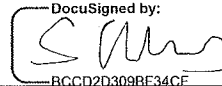
Steven Panagos

DocuSigned by:

BEE506CC094F4E6...

Karan Rai

DocuSigned by:

DA99EA30B81A4AC...

James Rosati

DocuSigned by:

BCCD2D309BE34CF...

Stephen Woody

Fill in this information to identify the case:Debtor name Avadim Health, Inc.United States Bankruptcy Court for the District of Delaware
(State)

Case number (If known): _____

☐ Check if this is an amended filing**Official Form 204****Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 35 Largest Unsecured Claims and Are Not Insiders (on a Consolidated Basis)**

12/15

A list of creditors holding the 20 largest unsecured claims must be filed in a Chapter 11 or Chapter 9 case. Include claims which the debtor disputes. Do not include claims by any person or entity who is an *insider*, as defined in 11 U.S.C. § 101(31). Also, do not include claims by secured creditors, unless the unsecured claim resulting from inadequate collateral value places the creditor among the holders of the 20 largest unsecured claims.

| Name of creditor and complete mailing address, including zip code | Name, telephone number, and email address of creditor contact | Nature of the claim (for example, trade debts, bank loans, professional services, and government contracts) | Indicate if claim is contingent, unliquidated, or disputed | Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim. | | |
|--|--|---|--|--|---|-----------------|
| | | | | Total claim, if partially secured | Deduction for value of collateral or setoff | Unsecured claim |
| 1. HE 915 Windmill Parkway, Evans, GA 30809 | Randy Harod Tel. 706-228-5165 Email rush@hesales.com | Note | | | | \$5,290,476.03 |
| 2. Cooley LLP 101 California St., 5th Floor, San Francisco, CA 94111-5800 | Yuve-Claude Pierre Tel. 212-479-6721 Email ypierre@cooley.com | Professional services | | | | \$1,293,175.71 |
| 3. The Swanson Group 1110 W Lake Cook Rd # 372, Buffalo Grove, IL 60089 | Rich Swanson Tel. 847-850-7783 Email rswanson@tsg-us.net | Trade | | | | \$1,280,837.32 |
| 4. Donnelley Financial, LLC PO Box 842282, Boston, MA 02284-2282 | Michael Evans Tel. 813-810-1432 Email michael.j.evans@dfinsolutions.com | Trade | | | | \$534,918.60 |
| 5. QSD Inc. 1993 Francis-Hughes, Laval, Quebec, Canada H7S 2G2 | Danny Ayoub Tel. 514-907-8760 Email dannyayoub@me.com | Trade | | | | \$450,848.05 |
| 6. GRS, LLC 100 N Sepulveda Blvd, Suite 1600, El Segundo, CA 90245 | Boris Shimanovsky Tel. 310-626-1362 ext 6204 Email bshimanovsky@grventures.com | Trade | | | | \$200,000.00 |

Debtor

Avadim Health, Inc.

Case number (if known)

Name

| | Name of creditor and complete mailing address, including zip code | Name, telephone number, and email address of creditor contact | Nature of the claim (for example, trade debts, bank loans, professional services, and government contracts) | Indicate if claim is contingent, unliquidated, or disputed | Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim. | | |
|-----|---|---|---|--|--|---|-----------------|
| | | | | | Total claim, if partially secured | Deduction for value of collateral or setoff | Unsecured claim |
| 7. | Daansen USA, Inc. 31 Elm St., Nashua, NH 03060 | Accounts Receivable Department Tel. 603-882-8897 Email ken.kieken@daansenusa.com | Trade | | | | \$190,526.24 |
| 8. | WPT NONWOVENS CORP 850 South Main St., Beaver Dam, KY 42320 | Accounts Receivable Department Tel. 270-274-7115 Email ar@wptnonwovens.com | Trade | | | | \$161,800.05 |
| 9. | Regenesis Inc. PO Box 1351, Lakeport, CA 95453 | Accounts Receivable Department Tel. 877-766-4490 | Trade | | | | \$154,500.00 |
| 10. | Berlin Packaging, LLC P.O. Box 74007164, Chicago, IL 60674-7164 | Accounts Receivable Department Tel. 704-612-4500 | Trade | | | | \$139,851.30 |
| 11. | Hyman, Phelps & McNamara 700 Thirteenth Street, N.W. Suite 1200, Washington D.C. 20005 | Accounts Receivable Department Tel. 202-737-5600 Email bdonato@hpm.com | Professional Services | | | | \$124,884.50 |
| 12. | Integrated Strategy Group, LLC 16 W. Washington Street, Lexington, VA 24450 | Accounts Receivable Department Tel. 239-405-5987 | Trade | | | | \$80,000.00 |
| 13. | Drew Pinsky, Inc. redacted | Dr. Drew Pinsky Tel. 310-231-0800 Email: syounger@mymangreenspan.com | Trade | | | | \$76,198.21 |
| 14. | Amazon Advertising LLC P.O. Box 24651, Seattle, WA 98124-0651 | Accounts Receivable Department Email advertising-receivables@amazon.com | Trade | | | | \$71,641.14 |
| 15. | Doximity Inc Dept CH19191, Palatine 60055-9291 | Nick Lumley Tel. 847-946-7951 Email ar@doximity.com | Trade | | | | \$70,000.00 |

Debtor

Avadim Health, Inc.

Name

Case number (if known)

| Name of creditor and complete mailing address, including zip code | Name, telephone number, and email address of creditor contact | Nature of the claim (for example, trade debts, bank loans, professional services, and government contracts) | Indicate if claim is contingent, unliquidated, or disputed | Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim. | | |
|--|--|---|--|--|---|-----------------|
| | | | | Total claim, if partially secured | Deduction for value of collateral or setoff | Unsecured claim |
| 16. University of South Carolina Contract 1600 Hampton Street, Room 612, Columbia, SC 29208 | Accounts Receivable Department Tel. 803-777-8749 Email bonifacb@mailbox.sc.edu | Trade | | | | \$69,069.50 |
| 17. University of Pittsburgh Attn 371220 Pittsburgh, PA 15262-0001 | D. Kramer Tel. 412-624-6040 Email dkramer@cfo.pitt.edu | Trade | | | | \$67,500.00 |
| 18. Catalina Marketing Corporation P.O. Box 620000, Orlando, FL 32891-8484 | Accounts Receivable Department Tel. 727-579-5389 Email daron.cornell@catalina.com | Trade | | | | \$65,151.98 |
| 19. Venture 159 Bank St., Suite 202, Burlington, VT 05401 | Accounts Receivable Department Tel. 844 780 6797 Email hello@venture.com | Trade | | | | \$62,500.00 |
| 20. SEKO Worldwide, LLC PO Box 71141, Chicago, IL 60694-1141 | Accounts Receivable Department Tel. 847-238-1900 Email cltintl@sekologistics.com | Trade | | | | \$61,555.28 |
| 21. MMS, A Medical Supply Company 13400 Lakefront Dr., Earth City, MO 63045 | Dan Rieman Executive VP – Procurement & Compliance Concordance Healthcare Solutions Tel 314-593-2593 Email drieman@concordancehs.com Fax: 314-291-0206 | Trade | | | | \$56,772.19 |
| 22. Steris Isomedix Services PO Box 676548 Dallas, TX 75267 | Karen Winkler Tel. 864-582-3041 Email Karen_Winkler@steris.com | Trade | | | | \$51,212.97 |

Debtor

Avadim Health, Inc.

Case number (if known)

| | Name of creditor and complete mailing address, including zip code | Name, telephone number, and email address of creditor contact | Nature of the claim (for example, trade debts, bank loans, professional services, and government contracts) | Indicate if claim is contingent, unliquidated, or disputed | Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim. | | |
|-----|---|---|---|--|--|---|-----------------|
| | | | | | Total claim, if partially secured | Deduction for value of collateral or setoff | Unsecured claim |
| 23. | ICR, LLC 761 Main Ave., Norwalk, CT 06851 | Accounts Receivable Department Tel. 203-682-8200 Email accounts.receivable@icri nc.com | Trade | | | | \$40,830.00 |
| 24. | Cardinal Health Cardinal Health 105, Inc. Dallas, TX 75379-8709 | Alysia Jones Tel. 615-287-5387 Email alysia.jones@cardinalheal th.com | Trade | | | | \$40,396.97 |
| 25. | Technology Commercialization, LLC 8801 Fast Park Dr., Ste 205 Raleigh, NC 27617 | Jim Woodward Email jim.woodward@tcgmedt ech.com | Trade | | | | \$38,925.00 |
| 26. | Progress Container & Display 653 Patrick Mill Rd. SW Winder, GA 30680 | K. McEnaney Tel. 678-425-2200 Email kmcenaney@progresscon tainer.com | Trade | | | | \$34,270.11 |
| 27. | Sterigenics 37244 Eagle Way Chicago, IL 60678-1372 | N. Lema Tel. 828-274-7996 Email NLema@sterigenics.com | Trade | | | | \$34,031.48 |
| 28. | Precision Label PO Box 5186 Hendersonville, SC 28793-5186 | Gina Tel. 828-692-7717 Email gina@precisionlabelinc.c om | Trade | | | | \$31,476.59 |
| 29. | Williams & Connolly LLP 725 Twelfth St., N.W. Washington, DC 20005- 5901 | Accounts Receivable Tel. 202-434-5000 Email accountsreceivable@wc.c om | Professional services | | | | \$29,494.26 |
| 30. | Manpower 21271 Network Place Chicago, IL 60673-1212 | Accounts Receivable Tel. 866-906-6687 Email ar.questions@manpower group.com | Trade | | | | \$28,576.36 |

Debtor Avadim Health, Inc.
Name

Case number (if known) _____

| Name of creditor and complete mailing address, including zip code | Name, telephone number, and email address of creditor contact | Nature of the claim (for example, trade debts, bank loans, professional services, and government contracts) | Indicate if claim is contingent, unliquidated, or disputed | Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim. | | |
|--|---|---|--|--|---|-----------------|
| | | | | Total claim, if partially secured | Deduction for value of collateral or setoff | Unsecured claim |
| 31. Aiton Anderson Architecture 117 Cherry Street Black Mountain, NC 28711 | B. Norman Tel. 828-989-5154 Email bnorman@soterahealth.com | Trade | | | | \$26,893.25 |
| 32. Fed Ex PO Box 371461 Pittsburgh, PA 15250-7461 | Accounts Receivable Tel. 800-622-1147 | Trade | | | | \$25,874.11 |
| 33. Eric Paul Crispell redacted | Tel. 615-788-4528 Email ecrispell@gmail.com | Trade | | | | \$24,810.98 |
| 34. Holland & Hart PO Box 17283 Denver, CO 80217-0283 | Tel. 303-295-8000 Email rlachten@hollandhart.com | Professional Services | | | | \$24,593.75 |
| 35. Skillsoft Corporation 300 Innovative Way Nashua, NH 03062 | Tel. 603-821-3790 Email receivables@skillsoft.com | Trade | | | | \$21,677.48 |

IN THE UNITED STATES BANKRUPTCY COURT
FOR THE DISTRICT OF DELAWARE

| | | |
|----------------------|---|-------------------------|
| In re: |) | Chapter 11 |
| |) | |
| AVADIM HEALTH, INC., |) | Case No. 21-____ (____) |
| |) | |
| Debtor. |) | |
| |) | |

CORPORATE OWNERSHIP STATEMENT (RULE 7007.1)

Pursuant to Federal Rule of Bankruptcy Procedure 7007.1 and to enable the Judges to evaluate possible disqualification or recusal, the undersigned authorized officer of the above-captioned Debtor, certifies that the following is a corporation other than the Debtor, or a governmental unit, that directly or indirectly owns 10% or more of any class of the corporation's equity interests, or states that there are no entities to report under FRBP 7007.1.

☒ None [*check if applicable*]

| Name | Address |
|------|---------|
| | |

IN THE UNITED STATES BANKRUPTCY COURT
FOR THE DISTRICT OF DELAWARE

| | | |
|----------------------|---|----------------------|
| In re: |) | Chapter 11 |
| |) | |
| AVADIM HEALTH, INC., |) | Case No. 21-____ () |
| |) | |
| Debtor. |) | |
| |) | |

LIST OF EQUITY SECURITY HOLDERS

Attached is the list of the Debtor's equity security holders which is prepared in accordance with Federal Rule of Bankruptcy Procedure 1007(a)(3) for filing in this Chapter 11 Case:

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| 1999 Sansone Family Trust | Common Stock | 6,250 |
| A & A Capital Investments LP 6329 State Rd 54 New Port Richey, FL 34653 | Common Stock | 50,000 |
| Aaron Ross | Common Stock | 6,000 |
| Abbey E. Osborne | Common Stock | 10,500 |
| Ableidinger Family Partnership | Common Stock | 9,375 |
| Adam & Nicki Scott | Common Stock | 2,941 |
| Adam Armstrong | Common Stock | 2,500 |
| Adam Scott | Common Stock | 2,941 |
| Alan J. White | Common Stock | 900 |
| Albert Dewaine and/or Cheryl Burrus Smith | Common Stock | 3,000 |
| Alexis A. Mancini | Common Stock | 1,000 |
| Allan B. Sy | Common Stock | 1,775 |
| Allan R. Cox | Common Stock | 56,818 |
| Allen & Miriam E. Gray | Common Stock | 1,000 |
| Alliance Funds, LLC 186 Mills Road North Salem, NY 10560 | Common Stock | 21,875 |
| Allison Hindman | Common Stock | 187 |
| Alvin Yarborough | Common Stock | 2,941 |
| Amarjit S. Dhaliwal | Common Stock | 25,000 |
| Amber Ahrens Fox | Common Stock | 2,397 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Amber Fox | Common Stock | 235 |
| American IRA, FBO Laurie M. Rappl IRA 205 Staffordshire Way Simpsonville, South Carolina 29681 | Common Stock | 588 |
| American IRA, LLC FBO Phyllis Shelton ROTH IRA | Common Stock | 10,000 |
| Amy Green | Common Stock | 750 |
| Amy Raymond | Common Stock | 3,125 |
| Anderson Joint Trust u/t/a January 25, 2017 | Common Stock | 500 |
| Andrea K. Moore | Common Stock | 2,000 |
| Andrea Sterritt and John Sterritt | Common Stock | 294 |
| Andrew A Feng and/or Shirley Y Feng | Common Stock | 1,250 |
| Andrew Bobilya | Common Stock | 1,556 |
| Andrew W. Osborne | Common Stock | 10,500 |
| Anelia P. Brady | Common Stock | 295 |
| Angelo Lombardo | Common Stock | 600 |
| Angelo Marocco | Common Stock | 2,941 |
| Ann O Young | Common Stock | 500 |
| Ann Sprinkle | Common Stock | 7,142 |
| Anna and Harry Taylor | Common Stock | 294 |
| Anna Price Heyer | Common Stock | 1,250 |
| Anne Cone Liptzin | Common Stock | 3,823 |
| Annette L. Neff | Common Stock | 2,500 |
| Anthony Brentlinger MD | Common Stock | 2,500 |
| Anthony L. Mathis | Common Stock | 882 |
| Anthony S. Tomasic | Common Stock | 7,500 |
| Anthony Tomasic and Wendy Leng | Common Stock | 6,343 |
| April Blakey | Common Stock | 25,000 |
| April C. Johnson | Common Stock | 1,000 |
| April Joyce Blakey | Common Stock | 250 |
| Arnold E. Ward | Common Stock | 800 |
| Arthur D. Robinson | Common Stock | 5,882 |
| Auctus Impact Fund, L.P. | Common Stock | 428,571 |
| Avtar S. Dhaliwal & Manjit K. Dhaliwal | Common Stock | 250,000 |
| Balus S. Chastain | Common Stock | 7,941 |
| Barbara C. Thomas | Common Stock | 588 |
| Barrett C. Hall | Common Stock | 7,500 |
| Barry Ostrander | Common Stock | 2,500 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Barry Silverstein | Common Stock | 4,140 |
| Bart McKinney | Common Stock | 12,888 |
| Be Set Free LLC | Common Stock | 2,500 |
| Beaumont Eric Felux | Common Stock | 13,000 |
| Becky I Martin | Common Stock | 18,000 |
| Ben Gibbs | Common Stock | 850 |
| Benjamin Cone Jr. | Common Stock | 2,941 |
| Benjamin J. Aceto | Common Stock | 294 |
| Benjamin W. Rawlins | Common Stock | 500 |
| Benjamin Wright | Common Stock | 500 |
| Bennett L. Wall | Common Stock | 2,000 |
| Bennie W. and Nancy C. Blakey Revocable Living Trust | Common Stock | 2,500 |
| Beth L. Redmond | Common Stock | 3,571 |
| Beth W. Hill | Common Stock | 7,157 |
| Betsy A. Burris | Common Stock | 8,050 |
| Betty I. Lewis | Common Stock | 706 |
| Betty L. Sanders | Common Stock | 2,941 |
| BFP I Holdings, LP | Common Stock | 8,750 |
| BFP I Partnership | Common Stock | 6,250 |
| Big Sky Realty Holdings, LLC | Common Stock | 2,941 |
| Black, Chestnutt & Johnson PA | Common Stock | 7,500 |
| Blair Endeavors, LP | Common Stock | 50,000 |
| Blake M. Goldman Roth Contributory IRA | Common Stock | 13,125 |
| Blake M. Goldman | Common Stock | 2,250 |
| Bobby Autrey | Common Stock | 250 |
| Bobby J White | Common Stock | 1,000 |
| Bradley L. Volmert and Khonsavan Volmert Joint Revocable Trust | Common Stock | 1,500 |
| Bradley V. Goodson | Common Stock | 3,000 |
| Brendan M Japp | Common Stock | 500 |
| Bret Rudd | Common Stock | 5,000 |
| Bret T Mathis | Common Stock | 500 |
| Brett Taylor Fann Irrevocable Trust | Common Stock | 37,500 |
| Brett West & Linda T. West, JT | Common Stock | 7,142 |
| Brian Blakey | Common Stock | 31,924 |
| Brian Francis Lavelle | Common Stock | 1,250 |
| Brian J. Buck | Common Stock | 25,000 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Brian John Buck Bethany Buck JTWROS | Common Stock | 2,500 |
| Brian Turner | Common Stock | 28,409 |
| Britt Gutierrez | Common Stock | 2,500 |
| Brookdale Square LLC | Common Stock | 900 |
| Bruce D. & Janice K. Thorsen | Common Stock | 26,178 |
| Bryan D. McCaul | Common Stock | 2,500 |
| Bryan Easler Rev Living Trust | Common Stock | 5,882 |
| Bryan Emerson Nearn, III and wife, Elizabeth Ryan Nearn, JTWROS | Common Stock | 2,500 |
| Bryan Nearn | Common Stock | 5,500 |
| Burnham Capital I, LLC Attn: Chris Williams 1828 Baybrook Ct. Naperville, IL 60564 | Common Stock | 30,810 |
| Cailin Ravina | Common Stock | 2,941 |
| Caitlyn Riley | Common Stock | 500 |
| Caldwell, Wright Enterprises, Inc. 1070 Airport Industrial Park Drive Marietta, GA 30060 | Common Stock | 25,000 |
| Cameron Tokay | Common Stock | 500 |
| Camille R. Gaines | Common Stock | 4,071 |
| Campwoody Investments, LLC 81 Thompson Street Asheville, NC 28803 | Common Stock | 500,000 |
| Caney Shuford Gunn | Common Stock | 16,750 |
| Carl H. Ricker, Jr. | Common Stock | 2,500 |
| Carl P Meyer | Common Stock | 5,882 |
| Carolyn H. Ferguson | Common Stock | 1,176 |
| Carolyn Renea F. Turner | Common Stock | 1,176 |
| Carroll Ann Wykoff | Common Stock | 1,544 |
| Catherine Elizabeth Medd | Common Stock | 2,209 |
| Catherine M. Dudley | Common Stock | 235 |
| Catherine Simpson | Common Stock | 588 |
| Cesar Augusto S. Ribas | Common Stock | 12,500 |
| Chari and Rod Price | Common Stock | 1,470 |
| Charlene H. Price | Common Stock | 300 |
| Charlene L Pearce | Common Stock | 1,025 |
| Charles A. Shanor | Common Stock | 4,500 |
| Charles G. Friedman | Common Stock | 8,142 |
| Charles H. Reynolds | Common Stock | 5,882 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Charles J Mazzola | Common Stock | 2,500 |
| Charles O. Radford, Jr | Common Stock | 600 |
| Charles Owen III | Common Stock | 6,250 |
| Charles Owen III | Common Stock | 3,125.00 |
| Charles Owen III | Common Stock | 3,125.00 |
| Charles R. Higdon & John R. Higdon: JT | Common Stock | 7,142 |
| Charles T. & Teresa R. Buckner | Common Stock | 1,470 |
| Charles T. Buckner | Common Stock | 300 |
| Charles T. Inman | Common Stock | 1,500 |
| Charles T. Koontz, Sr. | Common Stock | 7,442 |
| Charles Thomas Inmann | Common Stock | 875 |
| Cheryl T. DeLong | Common Stock | 1,785 |
| Chloe Rader | Common Stock | 26,330 |
| Chris Dockrill | Common Stock | 4,125 |
| Christie Osborne | Common Stock | 750,000 |
| Christine Bilotti-Peterson | Common Stock | 7,012 |
| Christine Williams | Common Stock | 300 |
| Christopher Brazill | Common Stock | 2,500 |
| Christopher Grimley | Common Stock | 800 |
| Christopher J. Canetta | Common Stock | 5,000 |
| Christopher J. Milz | Common Stock | 3,000 |
| Christopher Souliere | Common Stock | 1,898 |
| Christopher Walker | Common Stock | 500 |
| Cindy Austin POD Trust | Common Stock | 4,516 |
| Cindy Austin | Common Stock | 6,250 |
| Cindy Austin | Common Stock | 2,500 |
| Cindy Millard | Common Stock | 3,750 |
| Claire H. Enright | Common Stock | 250 |
| Claire K. Dunn | Common Stock | 2,206 |
| Clay and Rosetta Mooney | Common Stock | 2,950 |
| Clifford & Grazyna Gammons | Common Stock | 1,250 |
| Clifford W Donnelly | Common Stock | 2,500 |
| Clinton Wade Carpenter | Common Stock | 500 |
| Colin Cunningham | Common Stock | 10,673 |
| Colson Patrick Graham | Common Stock | 284 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Confessio, LP 2400 Green Park Drive Arlington, TX 76017 | Common Stock | 58,825 |
| Confessio, LP Attn: Aaron Amos 2400 Green Park Drive Arlington, TX 76017 | Common Stock | 12,648 |
| Connor William Ryon | Common Stock | 500 |
| Cooper Ford Graham | Common Stock | 284 |
| Courtney Brose | Common Stock | 187 |
| Craig Bulkeley | Common Stock | 10,000 |
| CRB Investments, LLC 1075 Hendersonville Rd. Asheville, NC 28803 | Common Stock | 3,000 |
| Crystal Madden | Common Stock | 470 |
| Cynthia C. Poulson | Common Stock | 300 |
| Cynthia J. Towson | Common Stock | 500 |
| Cynthia S. & Mark R. Tiralosi | Common Stock | 250 |
| D. Richard & Sandra B. Stroup | Common Stock | 1,470 |
| D. Richard Stroup | Common Stock | 1,750 |
| Dale & Amalia Wappes | Common Stock | 1,294 |
| Dale Gross | Common Stock | 1,250 |
| Dale L. Schaefer | Common Stock | 40,764 |
| Dan Grader | Common Stock | 1,250 |
| Dan Hurst | Common Stock | 28,409 |
| Dana J Bobilya | Common Stock | 4,500 |
| Daniel and/or Jennifer Grader | Common Stock | 2,500 |
| Daniel Bruce Goforth | Common Stock | 2,500 |
| Daniel C. Brunner | Common Stock | 588 |
| Daniel Davies Summerlin and Elizabeth Durham Summerlin | Common Stock | 1,136 |
| Daniel H Zivney | Common Stock | 3,000 |
| Daniel McCarthy III | Common Stock | 2,600 |
| Daniel W. Engle | Common Stock | 5,500 |
| Darby Thompson | Common Stock | 2,941 |
| Darius Jefferson | Common Stock | 321 |
| Darlene Davis | Common Stock | 588 |
| Darrell B. Redmond | Common Stock | 61,318 |
| Darrell L. Griswold | Common Stock | 500 |
| David & Pauline Fugazzotto 3 | Common Stock | 5,882 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| David & Theresa Banark | Common Stock | 2,941 |
| David A. Cesario Revocable Trust 8 | Common Stock | 12,206 |
| David A. Forehand, Jr. | Common Stock | 113,636 |
| David A. Lord | Common Stock | 588 |
| David A. Phillips | Common Stock | 8,823 |
| David E. Mosteller | Common Stock | 6,250 |
| David Fann | Common Stock | 17,955 |
| David Fann | Common Stock | 3,125.00 |
| David Fann | Common Stock | 3,125.00 |
| David Fioroni | Common Stock | 750 |
| David Franklin | Common Stock | 1,494 |
| David Gibbs | Common Stock | 26,250 |
| David Gilkey | Common Stock | 588 |
| David J. Meyer Rev Trust UAD 8/31/2007 | Common Stock | 8,824 |
| David K. Barker | Common Stock | 5,000 |
| David Kane Smith | Common Stock | 353 |
| David L. Brown | Common Stock | 2,125 |
| David L. Cobb, Jr. | Common Stock | 1,500 |
| David L. Freeborn | Common Stock | 1,000 |
| David L. Fretwell | Common Stock | 412 |
| David L. Maher | Common Stock | 2,941 |
| David or Jennifer McIntyre | Common Stock | 2,941 |
| David P. Judy III and Frieda A. Judy | Common Stock | 625 |
| David S. Ellis | Common Stock | 2,941 |
| David Spencer and Patricia Ladd Elrod JTWROS | Common Stock | 1,250 |
| David T. Crouch | Common Stock | 59,895 |
| David W. Fann Revocable Trust | Common Stock | 576,050 |
| Dawn Eckard Spagnoli | Common Stock | 1,503 |
| Dean & Dianne DeNuccio | Common Stock | 5,882 |
| Dean and Jonnie Wells | Common Stock | 625 |
| Dean B. Williamson | Common Stock | 1,503 |
| Debbie H. Fann | Common Stock | 100,000 |
| Debbie Mikkelson | Common Stock | 5,000 |
| Deborah Helen Shaw & Gerald D. Shaw Jr. | Common Stock | 7,500 |
| Deborah M Maglio | Common Stock | 5,381 |
| Deborah R. Wilson | Common Stock | 1,470 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Deborah S. Pryor | Common Stock | 300 |
| Deborah W. Summey (IRA), WFCS as Custodian | Common Stock | 2,941 |
| Deborah W. Summey (IRA), WFCS as Custodian | Common Stock | 2,941 |
| Deborah Wolcott / William F. Wolcott III 4 | Common Stock | 1,000 |
| Debra D. Johnson | Common Stock | 500 |
| Debra J. Robertson and Philip G. Robertson, Co-Trustees Under the Robertson Trust Agreement dated February 28, 2020 0 | Common Stock | 1,764 |
| Della Bailey Sherrill and Doris Bailey Anderson, WROS | Common Stock | 150 |
| Della Bailey Sherrill, Nolyn Konner, Bailey Hawkins | Common Stock | 150 |
| Delores Sutton Anderson Jenkins Trust II | Common Stock | 2,500 |
| Dennis W. Buck | Common Stock | 25,000 |
| Deva E. Reece | Common Stock | 3,000 |
| Devin Demyanovich | Common Stock | 625 |
| Dewey Andrew | Common Stock | 195,116 |
| Dewey Andrew | Common Stock | 3,125.00 |
| Dewey Andrew | Common Stock | 3,125.00 |
| Diana Gallagher | Common Stock | 789 |
| Diana L. Gallagher or James F. Gallagher | Common Stock | 250 |
| Diana Wild Pierce | Common Stock | 587 |
| Diane C. & Richard B. Stone | Common Stock | 500 |
| Diane Douglas | Common Stock | 5,000 |
| Diane Smith | Common Stock | 40,367 |
| Diva Fioroni | Common Stock | 1,750 |
| Dixie B. Pelle | Common Stock | 600 |
| Don Rosvold | Common Stock | 75,000 |
| Don T & Roslyn S Riley | Common Stock | 2,941 |
| Don T. Riley | Common Stock | 2,294 |
| Donald F. Groff | Common Stock | 30,714 |
| Donald Fondren | Common Stock | 2,250 |
| Donald G. Cooper | Common Stock | 2,500 |
| Donald W. Woody | Common Stock | 1,647 |
| Donald Woody | Common Stock | 235 |
| Donald Woody & Stacey Woody | Common Stock | 4,071 |
| Donna Lee | Common Stock | 1,500 |
| Donna Logan | Common Stock | 588 |
| Donna McDonald | Common Stock | 22,857 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Doris P Hudgins | Common Stock | 11,302 |
| Doug Wallis | Common Stock | 4,000 |
| Douglas D. Mello | Common Stock | 794 |
| Douglas E. Mabeus | Common Stock | 1,500 |
| Douglas Joseph Mello | Common Stock | 294 |
| Douglas P. Sieverding | Common Stock | 3,750 |
| DR & DA Investments LLC 1310A Patton Ave Asheville, NC 28806 | Common Stock | 76,428 |
| Dr. Andrew Buchman Nearn and wife, Ashley Watkins Nearn, JTWROS | Common Stock | 2,500 |
| Dr. Joseph Ceravolo | Common Stock | 1,000 |
| Duncan and Mary Dorris | Common Stock | 5,000 |
| Dwayne E. and Pamela C. Maxwell | Common Stock | 1,250 |
| Earl G. Pate | Common Stock | 17,857 |
| Ed Fioroni | Common Stock | 3,500 |
| Edward A Ellington | Common Stock | 5,883.00 |
| Edward J. Biedenbach | Common Stock | 5,882 |
| Edward L. Duncan | Common Stock | 882 |
| Edward R Nelson, Jr. | Common Stock | 1,176 |
| Edward Shoff | Common Stock | 29,412 |
| Edward Steve Ward | Common Stock | 25,000 |
| Edwin J. Zaunbrecher | Common Stock | 500 |
| Edwin P Graham | Common Stock | 20,412 |
| Eileen Cioe | Common Stock | 2,941 |
| EKComed LLC 629 Bemis Heights Place St Charles, MO 63303 | Common Stock | 1,500 |
| Elaine T Goosmann Living Trust, Dated July 11, 1996 | Common Stock | 600 |
| Elias Benamor | Common Stock | 1,500 |
| Elizabeth C. Boys | Common Stock | 882 |
| Elizabeth Cox | Common Stock | 1,581 |
| Elizabeth K. Seagle | Common Stock | 3,382 |
| Elizabeth Macleod | Common Stock | 294 |
| Elizabeth Summerlin | Common Stock | 568 |
| Elizabeth T. Sullins | Common Stock | 294 |
| Elizabeth Webb | Common Stock | 11,725 |
| Elsa Woody | Common Stock | 235 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Elsa Woody | Common Stock | 26,647 |
| Enoch Walter Goldman Roth IRA | Common Stock | 5,000 |
| Enoch Walter Goldman Roth IRA | Common Stock | 2,500 |
| Entrust Group Inc. FBO Robert Umdenstock #50-00607 555 17 th Street, Suite 1250 Oakland, CA 94607 | Common Stock | 3,500 |
| Equity Trust Co Custodian FBO Adam C. Armstrong IRA 405 Peach Orchard Ave Oakwood, OH 45419 | Common Stock | 1,000 |
| Equity Trust Co Custodian FBO Judith A. Armstrong IRA PO Box 150709 Nashville, TN 37215 | Common Stock | 5,800 |
| Equity Trust Co Custodian FBO William M Newman IRA 1 Equity Way Westlake, OH 44145 | Common Stock | 3,000 |
| Equity Trust Co. Cust. FBO V. Gary Price 3805 Overton Park Dr. E. FT. Worth, TX 76109 | Common Stock | 14,205 |
| Equity Trust Co. Cust. FBO V. Gary Price 3805 Overton Park Dr. E. FT. Worth, TX 76109 | Common Stock | 7,143 |
| Equity Trust Co. Custodian FBO Patricia Wright IRA Equity Trust Company P.O. Box 451340 Westlake, OH 44145 | Common Stock | 275 |
| Equity Trust Company Custodian FBO Jerry P. Wright 11477 South Markridge Circle South Jordan, Utah 84095 | Common Stock | 3,571 |
| Equity Trust Company Custodian FBO Jerry Wright IRA Equity Trust Co., 1 Equity Way Westlake, OH 44145 | Common Stock | 3,000 |
| Equity Trust Company Custodian FBO Paul M. Sklareski AP3034923 602 Fairsted Lane Huntersville, NC 28078 | Common Stock | 5,714 |
| Equity Trust Company Custodian FBO Roy Wright IRA 200342000 1 Equity Way Westlake, OH 44145 | Common Stock | 8,196 |
| Equity Trust Company Custodian FBO Roy Wright IRA 200342000 1 Equity Way Westlake, OH 44145 | Common Stock | 8,019 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Equity Trust Company Custodian FBO Roy Wright ROTH IRA 1 Equity Way Westlake, OH 44145 | Common Stock | 1,095 |
| Equity Trust, Custodian (Timothy Gwennap) 250 Starwood Drive, Pittsboro NC. 27312 | Common Stock | 14,285 |
| Eric & Vicki Randall | Common Stock | 3,529 |
| Eric and Heather Seitz | Common Stock | 43,982 |
| Eric D. Seitz | Common Stock | 100 |
| Eric Landry | Common Stock | 2,058 |
| Eric Trujillo (dba Clyph, LLC) 15959 County Road 121 Weaubleau, MO 65774 | Common Stock | 2,058 |
| Eric Trujillo | Common Stock | 1,250 |
| Ernestine Austin Clubb | Common Stock | 1,000 |
| Erwin Benedict Valencia | Common Stock | 500 |
| Estate of Jack Ray Ferguson | Common Stock | 2,941 |
| Esteban C. Gonzales II | Common Stock | 2,000 |
| Estelle A. DelPrincipe | Common Stock | 4,425 |
| ETC Custodian FBO Jerry Wright IRA Equity Trust Company P.O. Box 451340 Westlake, OH 44145 | Common Stock | 2,750 |
| ETC Custodian FBO Patricia Wright IRA Equity Trust Company P.O. Box 451340 Westlake, OH 44145 | Common Stock | 353 |
| Eugene B. John | Common Stock | 3,000 |
| Eugene DiRusso | Common Stock | 500 |
| Eugenia Nisbet White | Common Stock | 588 |
| Evy Kay Washburne | Common Stock | 8,750 |
| F Lachicotte Zemp, Jr. | Common Stock | 1,000 |
| F. Foster & Holly P. Shriner | Common Stock | 3,000 |
| Fannie S. Burrus | Common Stock | 575 |
| Floyd Bailey | Common Stock | 1,250 |
| Frances A. Britt Trust | Common Stock | 10,714 |
| Francis Ross Clark III | Common Stock | 2,500 |
| Frank Ettin | Common Stock | 2,500 |
| Frank Harney | Common Stock | 30,000 |
| Frank J. Antonio | Common Stock | 56,818 |
| Frank Millard Griffin Revocable Trust DTD 9/30/2010 | Common Stock | 12,500 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Frederick L. Corder or Christina M. Corder, Trustees or their Succesor(s) of The Corder Family Trust, executed September 1 st , 2016 | Common Stock | 1,470 |
| G. Patrick McGuffin | Common Stock | 23,824 |
| G-3 Medical Inc. 81 Thompson St. Asheville, NC 28803 | Common Stock | 19,025 |
| Gabriella Lucchini Marino | Common Stock | 500 |
| Gail V. Mitchell | Common Stock | 300 |
| Gale S. Pollock | Common Stock | 30,357 |
| Gary and Patricia Koester | Common Stock | 7,500 |
| Gary and Sue Gaither | Common Stock | 2,500 |
| Gary B Ashburn, Roth IRA | Common Stock | 3,000 |
| Gary E. Clipper and Leslie J. Clipper | Common Stock | 5,882 |
| Gary German | Common Stock | 300 |
| Gary Maney | Common Stock | 500 |
| Gary McClinton | Common Stock | 3,571 |
| Gary R Massey | Common Stock | 3,125 |
| Gary V Koester | Common Stock | 3,750 |
| Gary Voytik | Common Stock | 500 |
| Gay W. Coleman | Common Stock | 900 |
| Gene B. Johnson | Common Stock | 3,571 |
| Gene W. Damico | Common Stock | 7,500 |
| Geoffrey R. Nuss, MD | Common Stock | 4,625 |
| Georg Richter | Common Stock | 3,000 |
| George B. Bell | Common Stock | 2,941 |
| George B. McGuffin | Common Stock | 11,382 |
| George C. Cutean & Theresa A. Cutean Joint Tenancy | Common Stock | 1,000 |
| George E Davis | Common Stock | 1,000 |
| George F Goosmann III Living Trust | Common Stock | 600 |
| George O. Loftis | Common Stock | 6,475 |
| George W Morosani | Common Stock | 10,000 |
| Gerald E. Lavallee | Common Stock | 2,500 |
| Gerald F. and Elaine A. McGuire JTWROS | Common Stock | 625 |
| Gerald J Petros | Common Stock | 8,750 |
| Gerard A Maglio | Common Stock | 5,000 |
| Gerard J. Murray | Common Stock | 17,650 |
| Gerry and Debbie Shaw | Common Stock | 1,470 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Gill and Lisa Brewster | Common Stock | 1,033 |
| Glen Robertson | Common Stock | 1,250 |
| Glenn & Karla Beckman | Common Stock | 250 |
| Glenn Carroll Hughes | Common Stock | 1,470 |
| Glenn W. Wilcox Sr. | Common Stock | 6,324 |
| GMLLC Mortgage LLC 10250 Chester Rd. Cincinnati, OH 45215 | Common Stock | 32,353 |
| Graham Neiman | Common Stock | 500 |
| Graham West | Common Stock | 750 |
| Graybank LLC 205 Fairfield Ave Bellevue, KY 41073 | Common Stock | 40,714 |
| Greg T and Deborah H Mathis JTROS | Common Stock | 5,000 |
| Gregg and Lisa Cottrell | Common Stock | 294 |
| Gregory A. Dear | Common Stock | 2,059 |
| Gregory C Martin & Julie A Martin | Common Stock | 1,250 |
| Gregory Motley | Common Stock | 2,500 |
| Gregory Thomas Mathis | Common Stock | 3,825 |
| GRS, LLC Attn: Boris Shimanvosky 100 N Sepulveda Blvd, Suite 1600 El Segundo, CA 90245 | Common Stock | 47,500 |
| Haley Danielle Fann Irrevocable Trust | Common Stock | 37,500 |
| Hampstead Property Investors LLC 52 Village Way Branchburg, NJ 08876 | Common Stock | 8,823 |
| Harold H. Hughes and Dianne B. Hughes, Trustees of the Hughes Revocable Trust, dated February 5, 2020 | Common Stock | 3,000 |
| Harold H. Hughes and Dianne B. Hughes, Trustees of the Hughes Revocable Trust, dated February 5, | Common Stock | 4,000 |
| Harold H. Hughes and Dianne B. Hughes, Trustees of the Hughes Revocable Trust, dated February 5, 2020 | Common Stock | 750 |
| Harrison Homes Enterprises, Inc. PO Box 1448 Black Mountain, NC 28711 | Common Stock | 1,000 |
| Harrison M. Abrahams | Common Stock | 19,324 |
| HE, Inc. 915 Windmill Parkway Evans, GA 30809 | Common Stock | 344,264 |
| Heather M Seitz | Common Stock | 235 |
| Heather M Seitz | Common Stock | 8,022 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Helpmate, Inc. PO Box 2263 Asheville, NC 28802 | Common Stock | 575 |
| Henrietta W. Palmer | Common Stock | 2,470 |
| Henry D. Mitchell | Common Stock | 300 |
| Hetfeld Family Trust | Common Stock | 5,882 |
| Hi Alta Investments, LLC 16 Country Lane Candler, NC 28715 | Common Stock | 12,500 |
| Hopkins 99 PLLC 401(k) Profit Sharing Plan FBO Kristin Chally 2 Walden Ridge Dr., Suite 15, Asheville, NC 28803 | Common Stock | 32,648 |
| Hopkins 99 PLLC 401(k) Profit Sharing Plan FBO Vernon Brooks Stewart 2 Walden Ridge Dr., Suite 15, Asheville, NC 28803 | Common Stock | 20,000 |
| Hopkins 99 PLLC 401(k) Profit Sharing Plan FBO Vernon Brooks Stewart 2 Walden Ridge Dr., Suite 15, Asheville, NC 28803 | Common Stock | 11,383 |
| Houston Cotton 97 Hickory Ridge Vilonia, AR 72173 | Common Stock | 500 |
| Howard P. Hezmall MD | Common Stock | 21,324 |
| Howard Pitluk MD | Common Stock | 500 |
| Hugh Duncan Dorris | Common Stock | 13,441 |
| Hugh Huntington | Common Stock | 2,500 |
| Humberto Antunes | Common Stock | 8,025 |
| Humberto Antunes | Common Stock | 3,125.00 |
| Humberto Antunes | Common Stock | 3,125.00 |
| IMAF Triad II, LLC 1959 N. Peace Haven Rd., Suite 111 Winston Salem NC 27106 | Common Stock | 85,227 |
| IMAF West LLC PO Box 188 Fletcher NC 29732 | Common Stock | 103,717 |
| Integrated Strategy Group, LLC 16 West Washington St. Lexington, VA 24450 | Common Stock | 1,456 |
| Ira N. Hollander | Common Stock | 4,500 |
| J Michael O'Connor | Common Stock | 5,250 |
| J. Brown Gaulden | Common Stock | 588 |
| J. Daniel Johnson MD | Common Stock | 19,967 |
| J. Darrell Poole | Common Stock | 500 |
| J. Harold & Linda C. Seagle | Common Stock | 6,771 |
| J. Kirk Harrell | Common Stock | 1,000 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| J. Michael Berard | Common Stock | 4,000 |
| J.A.S. Family Realty LP 88 Snelson Rd. Alexander, NC 28701 | Common Stock | 2,941 |
| Jack H. Britt Revocable Trust | Common Stock | 60,788 |
| Jack Hands | Common Stock | 185,000 |
| Jack J Dorsey | Common Stock | 500 |
| Jack Payne | Common Stock | 50,000 |
| Jack V. Smith | Common Stock | 3,750 |
| Jackie Teague | Common Stock | 2,000 |
| Jacob Rosenstein | Common Stock | 20,000 |
| Jacob Woody | Common Stock | 18,750 |
| Jacque Whitley, Terry Whitley | Common Stock | 5,357 |
| Jaime Gutierrez | Common Stock | 1,100 |
| James & Hillary Darst Trust dated 9/28/93 | Common Stock | 8,750 |
| James & Jacqueline Beretta | Common Stock | 588 |
| James A Burkhardt & Jane M Mulgrew | Common Stock | 3,750 |
| James and Alice McAnulty | Common Stock | 500 |
| James Benjamin H. Herndon & Tina Waddell Herndon | Common Stock | 21,398 |
| James Clifton Vestal | Common Stock | 18,824 |
| James David Weidenheft | Common Stock | 2,748 |
| James F Baldwin | Common Stock | 5,875 |
| James H Early | Common Stock | 1,950 |
| James H. Johnson Jr | Common Stock | 7,706 |
| James J. Mitchell | Common Stock | 2,000 |
| James Knight | Common Stock | 294 |
| James L. Evans | Common Stock | 625 |
| James Maita | Common Stock | 1,000 |
| James Nicholas Peterson | Common Stock | 588 |
| James P. Friedt | Common Stock | 1,000 |
| James R & Sharon L Groves | Common Stock | 5,000 |
| James R and Linda W Shreffler | Common Stock | 2,500 |
| James R. Baldwin | Common Stock | 625 |
| James Rosati | Common Stock | 3,125.00 |
| James Rosati | Common Stock | 3,125.00 |
| James T. Ahler | Common Stock | 294 |
| James T. Leeper and Dian Q. Leeper | Common Stock | 600 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| James T. Wilson | Common Stock | 10,084 |
| James Timothy Baldwin 3 | Common Stock | 2,941 |
| James V. Rosati | Common Stock | 20,074 |
| James W. Snodgrass | Common Stock | 750 |
| James W. Turner | Common Stock | 5,000 |
| Jamie Lunsford | Common Stock | 6,324 |
| Janalynn Miller | Common Stock | 294 |
| Jane Frazier | Common Stock | 4,571 |
| Jane Woody | Common Stock | 82,818 |
| Jared Buckner | Common Stock | 50,000 |
| Jared T & Anne M Mathis JTROS | Common Stock | 2,050 |
| Jason Christenson | Common Stock | 35,714 |
| Jason M. Peltz | Common Stock | 25,000 |
| Jay Blankenship | Common Stock | 2,000 |
| Jay Jansen | Common Stock | 5,882 |
| Jay L Moss Trust | Common Stock | 5,882 |
| Jean K Goldman Roth Contributory IRA | Common Stock | 3,125 |
| Jean K. Goldman | Common Stock | 1,333 |
| Jean K. Goldman, Trustee of the Ann K. Saunders Life Trust dated September 4, 2019 u/a The Barbara Sawyer Kinard Revocable Trust u/a January 4, 2016 | Common Stock | 1,334 |
| Jeanette Cone Kimmel Revocable Trust U/A Dated May 8, 1998 | Common Stock | 25,000 |
| Jeanne B Dresback | Common Stock | 3,675 |
| Jeannette Breen | Common Stock | 1,250 |
| Jeannette Gairdner | Common Stock | 294 |
| Jeff Gilbert 7 | Common Stock | 31,000 |
| Jeff Grossman | Common Stock | 500 |
| Jeffrey & Lori Ludrof | Common Stock | 7,541 |
| Jeffrey A. Aldridge Revocable Trust | Common Stock | 2,500 |
| Jeffrey B. Slosman | Common Stock | 25,000 |
| Jeffrey D & Teresa D. Massey | Common Stock | 500 |
| Jeffrey Gosnell | Common Stock | 500 |
| Jeffrey J Bailes | Common Stock | 882 |
| Jeffrey Justus | Common Stock | 6,441 |
| Jeffrey Stephen Uskert | Common Stock | 925 |
| Jennifer Hundt | Common Stock | 1,677 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Jennifer West | Common Stock | 14,285 |
| Jeremie Axe, MD | Common Stock | 22,500 |
| Jeremy B Jordan | Common Stock | 2,750 |
| Jeremy Henderson | Common Stock | 2,438 |
| Jeremy S. Branch | Common Stock | 500 |
| Jeremy Woody | Common Stock | 235 |
| Jeremy Woody | Common Stock | 1,647 |
| Jerry R Hannah | Common Stock | 18,600 |
| Jerry Sherrill Revocable Trust | Common Stock | 1,472 |
| Jessica Hall | Common Stock | 500 |
| Jessie Ray and Mary Jane Bailey | Common Stock | 588 |
| Jina N Petrarca | Common Stock | 2,941 |
| JMC Concepts 294 Westwood Place Asheville, NC 28806 | Common Stock | 2,500 |
| Joanne Badr | Common Stock | 625 |
| Joanne F. McCarthy | Common Stock | 500 |
| Job K. Chacko | Common Stock | 3,441 |
| Joe A Harris Jr or Dianne R Harris | Common Stock | 500 |
| Joe B & Beverly H Kirkland | Common Stock | 5,882 |
| Joe LaBruno | Common Stock | 3,571 |
| Joe McGuire | Common Stock | 75,000 |
| Joel M. and Elizabeth N. Barker | Common Stock | 5,882 |
| Joel P. Meirick | Common Stock | 6,750 |
| Johche, LLC 501 College Street PO Box 588 Clinton, NC 28328 | Common Stock | 15,000 |
| John A. Pumphrey MD | Common Stock | 5,882 |
| John A. Young | Common Stock | 294 |
| John C. Berry | Common Stock | 3,941 |
| John C. or Gaynelle Henderson | Common Stock | 500 |
| John C. Winget | Common Stock | 500 |
| John Cardi | Common Stock | 1,000 |
| John Christian Weil | Common Stock | 4,000 |
| John Echterling | Common Stock | 3,941 |
| John F Frye & Sandra F Frye | Common Stock | 5,000 |
| John F. McGuffin | Common Stock | 13,529 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| John Frye | Common Stock | 882 |
| John G Rehe | Common Stock | 800 |
| John G. Pierce | Common Stock | 2,500 |
| John G. Winkenwerder | Common Stock | 2,941 |
| John Howard Sosbee | Common Stock | 9,892 |
| John J. Bowen | Common Stock | 2,500 |
| John J. Cannavino and Bette O. Cannavino, Trustees of the John J. Cannavino Revocable Trust, dated May 4, 2016 | Common Stock | 5,875 |
| John Kirschner | Common Stock | 3,000 |
| John L. Robinson | Common Stock | 5,882 |
| John Louis Parrish | Common Stock | 600 |
| John M Japp, TOD Janet E Japp | Common Stock | 1,500 |
| John M. Jones | Common Stock | 3,529 |
| John M. Kledis | Common Stock | 300 |
| John M. O'Connor 4 | Common Stock | 3,750 |
| John N Davis | Common Stock | 3,000 |
| John Philip Hodge | Common Stock | 3,000 |
| John S. Denton | Common Stock | 500 |
| John Stephens | Common Stock | 500 |
| John T. Zeigler, III | Common Stock | 512 |
| John W. Powell III | Common Stock | 600 |
| John W. Steed | Common Stock | 550 |
| Johnny R Caldwell | Common Stock | 600 |
| Johnny R. & Janet K Scroggs, Jr. | Common Stock | 3,500 |
| Jon D. Gerber | Common Stock | 6,250 |
| Jonathan James Whitt | Common Stock | 500 |
| Jonathan L. Snover | Common Stock | 2,000 |
| Jonathan McCorkle Price | Common Stock | 1,250 |
| Jonathan R. Nafzger | Common Stock | 1,250 |
| Jonathan Scroggs | Common Stock | 3,750 |
| Jonathan W. Wald | Common Stock | 500 |
| Jonathan Woody | Common Stock | 235 |
| Jonathan Woody | Common Stock | 12,861 |
| Jonel F. Kinser | Common Stock | 1,176 |
| Jordan Richmond | Common Stock | 7,500 |
| Jordan Voigt | Common Stock | 26,647 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Jordan W. Voigt | Common Stock | 235 |
| Joseph Beretta | Common Stock | 5,500 |
| Joseph E. Underwood IV | Common Stock | 7,928 |
| Joseph LaBruno | Common Stock | 500 |
| Joseph R Geiger | Common Stock | 8,000 |
| Joseph R. Renzulli II | Common Stock | 18,750 |
| Joseph R. Renzulli II | Common Stock | 2,500 |
| Joseph Straight | Common Stock | 1,470 |
| Joseph Ventresca | Common Stock | 10,714 |
| Joseph Wright | Common Stock | 1,750 |
| Josh Hill | Common Stock | 794 |
| Josh Montgomery | Common Stock | 47,368 |
| Joshua E. Holmes | Common Stock | 800 |
| Joy Don Baker | Common Stock | 3,571 |
| Judith A. Armstrong | Common Stock | 37,500 |
| Judith C. Morosani | Common Stock | 2,500 |
| Julia Fosson and Sharon Ritchey | Common Stock | 23,353 |
| Julia Fosson | Common Stock | 4,012 |
| Julia H. Booth | Common Stock | 800 |
| Julian F. Branca | Common Stock | 5,000 |
| Justin Kickliter | Common Stock | 3,529 |
| Justin Kutcher | Common Stock | 3,000 |
| Justin Sigmon MD | Common Stock | 4,491 |
| Kalletta Caldwell | Common Stock | 588 |
| Karan Rai | Common Stock | 6,250 |
| Karan Rai | Common Stock | 3,125.00 |
| Karan Rai | Common Stock | 3,125.00 |
| Karen A. Kendrick | Common Stock | 1,000 |
| Karen Anderson | Common Stock | 500 |
| Karen Fussell | Common Stock | 2,941 |
| Karl Duane Mihalski | Common Stock | 1,500 |
| Kathleen A. Drysdale 7 | Common Stock | 95,992 |
| Kathleen A. Drysdale | Common Stock | 1,428 |
| Kathleen Fernandez | Common Stock | 11,470 |
| Kathleen Gibbs Lane and Michael Stuart Lane JTWROS | Common Stock | 5,000 |
| Kathryn Lee Hovelson | Common Stock | 300 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Kathy D Cheek-Hutson - | Common Stock | 1,000 |
| Kathy Staib | Common Stock | 1,000 |
| Kay Michelle Weidenheft | Common Stock | 2,748 |
| Keith and Amanda Mitchell | Common Stock | 300 |
| Keith Waguespack | Common Stock | 2,500 |
| Keith Whitaker | Common Stock | 1,250 |
| Keith Xavier | Common Stock | 3,750 |
| Kelly Bell Lytle | Common Stock | 2,941 |
| Kelly R. Davis | Common Stock | 300 |
| Ken Wilson Ford, Inc. PO Box 869 Canton, NC 28716 | Common Stock | 5,875 |
| Kenneth & Patricia Preble | Common Stock | 1,000 |
| Kenneth A. Mahony | Common Stock | 1,764 |
| Kenneth D. Hackett | Common Stock | 5,500 |
| Kenneth E. Keith | Common Stock | 700 |
| Kenneth G. Jackson Sr. | Common Stock | 2,559 |
| Kenneth Moore | Common Stock | 4,000 |
| Kenneth or Amy Fent | Common Stock | 600 |
| Kenneth or Billie Palmer | Common Stock | 5,071 |
| Kenneth Wilson | Common Stock | 1,470 |
| Keri Collison Stahle | Common Stock | 500 |
| Kerry L. Burriss | Common Stock | 588 |
| Kevin Carter | Common Stock | 25,000 |
| Kevin Diamond | Common Stock | 26,324 |
| Kevin F. Graham & Shawwna Graham | Common Stock | 3,000 |
| Kevin Ford Graham | Common Stock | 7,142 |
| Kevin Griffin | Common Stock | 2,500 |
| Kevin Lee Morgan | Common Stock | 3,176 |
| Kevin McCormick | Common Stock | 3,000 |
| Kevin Todd Page | Common Stock | 3,000 |
| KFLP II, LLC 4 Fairview Road, Asheville, NC 28803 | Common Stock | 32,250 |
| Kim Bingham | Common Stock | 500 |
| Kim Quinn | Common Stock | 25,000 |
| Kimberly Collison Farr | Common Stock | 500 |
| Kirk Brown | Common Stock | 1,000 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Kirk Burroughs | Common Stock | 1,000 |
| Kirk C Boone | Common Stock | 2,941 |
| Kirk Pinto | Common Stock | 9,840 |
| Kollin Jost Kawaguchi | Common Stock | 1,588 |
| Kravitz Pension Trust Kravitz Inc. 16030 Ventura Bl #200 Encino, CA 91436 | Common Stock | 5,000 |
| Kurt W. Barz & Amber D. Barz | Common Stock | 3,000 |
| Kurt William Kidwell | Common Stock | 975 |
| Kyle & Jennifer Olinger | Common Stock | 500 |
| L. Harry Jackson | Common Stock | 3,000 |
| LaDonna Fry | Common Stock | 294 |
| Lance R. Mancuso | Common Stock | 500 |
| Lanier M. Cansler | Common Stock | 3,500 |
| Larry & Patricia Nelson | Common Stock | 8,000 |
| Larry D. Rodgers | Common Stock | 300 |
| Larry Dean Christensen, Jr. | Common Stock | 2,500 |
| Larry E. Rodgers | Common Stock | 1,165 |
| Larry Hill & Elizabeth Hill | Common Stock | 4,071 |
| Laura Champlin | Common Stock | 4,000 |
| Laurel S. Mitchell | Common Stock | 1,000 |
| Laurey B. Henry | Common Stock | 500 |
| Laurie B Werner & Lon T Werner JTEN | Common Stock | 3,000 |
| Laurie C. Bell | Common Stock | 3,088 |
| Laurie M. Rappl | Common Stock | 4,840 |
| Lawrence E. Horine TTEE U/A DTD 12/20/2005 | Common Stock | 2,937 |
| Lawton Wofford | Common Stock | 2,500 |
| Lee & Erin Hanson | Common Stock | 4,750 |
| Lee C. Bloemendal, MD | Common Stock | 588 |
| Lee H. Merrill | Common Stock | 2,941 |
| Leesa Crain | Common Stock | 25 |
| Les Brill | Common Stock | 1,000 |
| Leslie Schuh | Common Stock | 44,067 |
| Lewis R. Styons Jr | Common Stock | 1,000 |
| Lewis W. Christoffel Jr. | Common Stock | 5,000 |
| Linda Hazen | Common Stock | 300 |
| Linda McGoldrick | Common Stock | 6,250 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Linda McGoldrick | Common Stock | 3,125.00 |
| Linda McGoldrick | Common Stock | 3,125.00 |
| Linda Psaras | Common Stock | 500 |
| Lindsay B Hughes | Common Stock | 1,500 |
| Lisa and Jim Engelbrecht | Common Stock | 1,176 |
| Lisa Lombardo | Common Stock | 3,000 |
| Lloyd Stephen Foster 600 Forrest Lane Joshua, TX 76058 | Common Stock | 882 |
| Loabra Financial Services Inc. 101 - 1865 Dilworth Dr., Suite 104 Kelowna, BC V1Y 9T1 Canada | Common Stock | 125,000 |
| Logan Shane Allen | Common Stock | 2,500 |
| Lois J. Stazer 9284 Breno Dr Fort Myers, FL 33913 | Common Stock | 2,941 |
| Lois Mason IRA #4407-5740 Wells Fargo Advisors 2295 Iron Point Rd, Suite 280 Folsom, CA 95630 | Common Stock | 294 |
| Lon T & Laurie B Werner, TIC | Common Stock | 3,000 |
| Loretta W. Reynolds | Common Stock | 300 |
| Lorianne W. Morris | Common Stock | 1,250 |
| Louis A. Chirico | Common Stock | 500 |
| Louis Buck | Common Stock | 140,968 |
| Louis J Bobilya and/or Margaret G Bobilya | Common Stock | 1,500 |
| Lyle & Karla Jeffries | Common Stock | 5,000 |
| Lyle Jeffries | Common Stock | 25,000 |
| Lyle Jeffries FBO Dan Jeffries | Common Stock | 1,500 |
| Lyle Jeffries FBO Ellen Jeffries | Common Stock | 1,500 |
| Lyle Jeffries FBO Tanner Jeffries | Common Stock | 500 |
| Lynda Santiago | Common Stock | 3,500 |
| Lynne & Charles Freeman | Common Stock | 1,470 |
| M. Susan Woofter | Common Stock | 1,750 |
| Maita Family Trust dated December 1, 2012 | Common Stock | 198,000 |
| Manning Moxley | Common Stock | 2,500 |
| Manuel Andrade | Common Stock | 1,250 |
| Maple Cove Inc. 247 Charlotte St. Asheville, NC 28801 | Common Stock | 10,000 |
| Marc Owen Ryon and Jill Jacqueline Ryon | Common Stock | 1,000 |
| Marcus Colon | Common Stock | 588 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Margaret J Baxter, IRA Oppenheimer & Co. Inc. Cust. P.O. Box 236 Black Mountain NC, 28711 | Common Stock | 2,941 |
| Margaret W Fondren | Common Stock | 22,617 |
| Margaret W. Fondren | Common Stock | 235 |
| Marjorie Rudd | Common Stock | 500 |
| Mark & Edna Tokay | Common Stock | 8,000 |
| Mark A. Kleive | Common Stock | 10,507 |
| Mark A. McCurdy MD | Common Stock | 5,441 |
| Mark A. Newberry | Common Stock | 2,500 |
| Mark and Marlene Tokay | Common Stock | 30,000 |
| Mark Austin | Common Stock | 3,242 |
| Mark B. Gilliss | Common Stock | 3,000 |
| Mark Graham | Common Stock | 6,250 |
| Mark R and Megan P Colbert | Common Stock | 1,000 |
| Mark Rudin | Common Stock | 4,412 |
| Mark Russell | Common Stock | 13,000 |
| Mark Schuh | Common Stock | 1,953 |
| Mark Tokay | Common Stock | 2,500 |
| Martha Millard and Walter Millard | Common Stock | 5,357 |
| Martha Moore | Common Stock | 2,000 |
| Martin Medrano | Common Stock | 1,000 |
| Martin W. and Teresa S. Trepp JTWROS | Common Stock | 500 |
| Mary E. Powell | Common Stock | 1,250 |
| Mary E. Woody | Common Stock | 235 |
| Mary E. Woody | Common Stock | 3,147 |
| Mary Mahoney & John Robinson | Common Stock | 5,882 |
| Mary T. Calhoun | Common Stock | 300 |
| Mason Owen Ryon | Common Stock | 500 |
| Matthew B. Spencer | Common Stock | 17,857 |
| Matthew Jeremy Wright | Common Stock | 1,000 |
| Matthew Morici | Common Stock | 2,941 |
| Matthew Tokay | Common Stock | 1,000 |
| Maureen Brandner | Common Stock | 23,253 |
| Mavis Dutson | Common Stock | 75 |
| Max Fann | Common Stock | 4,176 |
| Max Mackenzie Fann Irrevocable Trust | Common Stock | 37,500 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| McKenzie G Koon U/A/D 05-30- 2001; Karl Nicholas Koon TTEE; FBO McKenzie Koon Rev Trust | Common Stock | 6,000 |
| Melissa Barry | Common Stock | 3,750 |
| Melvin J. Mancini | Common Stock | 3,000 |
| Melvin Leroy | Common Stock | 8,084 |
| Melvin Rudd and Elizabeth A. Rudd | Common Stock | 8,000 |
| Meridith Beretta | Common Stock | 294 |
| Michael & Caroline Parrish | Common Stock | 2,941 |
| Michael A Rocchio Trust-2000 | Common Stock | 8,823 |
| Michael A. Crawford | Common Stock | 2,500 |
| Michael Benjamin Harris | Common Stock | 5,294 |
| Michael Caldwell | Common Stock | 588 |
| Michael Dunn | Common Stock | 441 |
| Michael E. Sichitano | Common Stock | 294 |
| Michael Forrest | Common Stock | 13,132 |
| Michael G. Sampson | Common Stock | 794 |
| Michael Goebel | Common Stock | 2,941 |
| Michael J Axe, MD | Common Stock | 40,603 |
| Michael J. Bunch | Common Stock | 39,411 |
| Michael J. Sheahan | Common Stock | 2,500 |
| Michael Jason Severn | Common Stock | 1,000 |
| Michael L and Paula S Robinson | Common Stock | 6,323 |
| Michael Morici | Common Stock | 1,470 |
| Michael Mosher & Shelle Mosher | Common Stock | 500 |
| Michael P Gantt | Common Stock | 600 |
| Michael Rogers | Common Stock | 5,571 |
| Michael S. Brewton | Common Stock | 3,571 |
| Michael Schamp | Common Stock | 3,000 |
| Michael Scott Bass | Common Stock | 2,500 |
| Michael Stephen & Debra Pless Harris | Common Stock | 2,941 |
| Michael Stuart & Kathleen Gibbs Lane JWTR0S | Common Stock | 1,500 |
| Michael Stuart Lane and Kathleen Gibbs Lane JWTR0S | Common Stock | 17,857 |
| Michele D. Sprague | Common Stock | 11,214 |
| Mike and Karen Hamsho Living Trust dated February 18, 2014 | Common Stock | 4,414 |
| Mike Battles | Common Stock | 1,000 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Mildred Gordon Maxwell | Common Stock | 3,003 |
| Millennium Trust Co. LLC Custodian FBO John Kline Roth IRA XXXX82968 2001 Spring Road, Suite 700. Oak Brook, IL 60523 | Common Stock | 1,250 |
| Millennium Trust Co., LLC Custodian FBO Jeffrey R Kindseth DDS Apc 401k PSP FBO Lisa D Kindseth xxxx61837 8 Tuscan Blue Newport Coast, CA 92657 | Common Stock | 2,941 |
| Millennium Trust Co., LLC Custodian FBO John Kline IRA a/c# xxxx62133 2001 Spring Road, Suite 700 Oak Brook IL 60523-5122 | Common Stock | 19,750 |
| Millennium Trust Co., LLC Steven Currie IRA XXXX76780 5910 Lemona Ave Sherman Oaks, CA 91411 | Common Stock | 3,500 |
| Millennium Trust Co., LLC Steven Currie IRA 5910 Lemona Ave Sherman Oaks, CA 91411 | Common Stock | 7,250 |
| Mitchell B. Gaulden | Common Stock | 294 |
| MLCSDI, LLC 501 College Street PO Box 588 Clinton, NC 28328 | Common Stock | 15,000 |
| Monty Wenk | Common Stock | 198,000 |
| Morgan Goldman | Common Stock | 625 |
| Morgan Teague | Common Stock | 1,000 |
| Munish Gupta | Common Stock | 500 |
| N. Leo Daughtry Revocable Trust | Common Stock | 18,750 |
| Nancy Blough | Common Stock | 4,706 |
| Nancy Burg | Common Stock | 1,750 |
| Nancy L. Gibbs | Common Stock | 500 |
| Nancy Lazkani Revocable Trust Dated 7-14-2008 | Common Stock | 29,411 |
| Nancy Rogers | Common Stock | 3,164 |
| Nancy Rose Voyles | Common Stock | 883 |
| Nanette Eileen Bradish-Willett | Common Stock | 1,100 |
| Nathaniel E Cannady, III | Common Stock | 30,000 |
| Nathaniel Keith Wright | Common Stock | 1,500 |
| Neil T Monteleone | Common Stock | 3,750 |
| Neva J. Green | Common Stock | 1,000 |
| New Vision Trust Custodian FBO H. Bryan Smith ROTH IRA | Common Stock | 10,000 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| New Vision Trust Custodian FBO H. Bryan Smith ROTH IRA | Common Stock | 2,500 |
| New Vision Trust Custodian IRA FBO Laurie M Rappl IRA 205 Staffordshire Way Simpsonville, SC 29681 | Common Stock | 2,942 |
| Nicholas & Norma Romansky | Common Stock | 8,823 |
| Nicholas V. Denuzio | Common Stock | 4,571 |
| Norman P. Allen | Common Stock | 5,000 |
| Norris Max and Ernestine Austin Clubb | Common Stock | 3,250 |
| Norris Max Clubb | Common Stock | 750 |
| Omega Medical Research 400 Bald Hill Rd. Warwick, RI 02886 | Common Stock | 3,230.00 |
| Oscar Pacheco | Common Stock | 375 |
| Owen Keith Hendricks | Common Stock | 4,375 |
| P Daniel & R Dianeice Eldridge | Common Stock | 6,250 |
| P. Andrew Walker | Common Stock | 4,029 |
| P2 Enterprises LLC PO Box 471113 Charlotte, NC 28247 | Common Stock | 5,000 |
| Pam W. Goldman Roth IRA | Common Stock | 5,000 |
| Pascal Fund MUFG House, 227 Elgin Ave. K Grand Cayman, Cayman Islands | Common Stock | 50,000 |
| Pasquale DiGregorio | Common Stock | 1,470 |
| Patricia A. O'Brien | Common Stock | 500 |
| Patricia Dowling Davis | Common Stock | 500 |
| Patricia Rowe | Common Stock | 1,251 |
| Patrick Carlton | Common Stock | 500 |
| Patrick James Devaney, Successor Trustee | Common Stock | 600 |
| Patrick M. Dudley | Common Stock | 562 |
| Patrick Ryon | Common Stock | 500 |
| Paul Balsamo | Common Stock | 5,441 |
| Paul Chan | Common Stock | 13,765 |
| Paul Cox | Common Stock | 395,412 |
| Paul F. Bellows | Common Stock | 61,743 |
| Paul G. Grimm Jr. | Common Stock | 2,941 |
| Paul G. Nojaim | Common Stock | 3,125 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Paul J and Christopher P.B. Campbell | Common Stock | 294 |
| Paul J Campbell | Common Stock | 1,000 |
| Paul J Maurer | Common Stock | 4,375 |
| Paul J. and Kacie A Campbell | Common Stock | 294 |
| Paul J. and Patricia S. Campbell | Common Stock | 2,580 |
| Paul J. Campbell and Paul J Campbell II | Common Stock | 294 |
| Paul K. Inman | Common Stock | 735 |
| Paul McKinney | Common Stock | 15,371 |
| Paul Saenger | Common Stock | 8,000 |
| Paula D Clark | Common Stock | 1,500 |
| Peak 4, LLC 22 Wood Lake Dr Fairview, NC 28730 | Common Stock | 1,875 |
| Pennie S Ladlie Revocable Trust | Common Stock | 8,000 |
| Pensco Trust Co. FBO William Knauf IRA Pensco Trust Company P.O. Box 173859 Denver, CO. 80217-3859 | Common Stock | 3,000 |
| PENSCO Trust Company LLC Custodian FBO John W. Stanier IRA PO Box173859 Denver, CO 80217-3859 | Common Stock | 1,000 |
| PENSCO Trust Company LLC Custodian FBO John W. Stanier IRA PO Box173859 Denver, CO 80217-3859 | Common Stock | 500 |
| Pete Robert DeLaVega Jr. | Common Stock | 2,941 |
| Peter G. & Ann M. O'Leary | Common Stock | 300 |
| Peter LaNasa MD | Common Stock | 75,000 |
| Peter M Elias & Mary L Williams | Common Stock | 1,470 |
| Peter Wayne Baublet | Common Stock | 3,571 |
| Peyton E. Osborne | Common Stock | 10,500 |
| Philip C. Cocke III | Common Stock | 2,500 |
| Philip E. Meirick | Common Stock | 1,000 |
| Philip Gorman | Common Stock | 2,941 |
| Philip Shaun & Lori Ann Woods | Common Stock | 11,764 |
| Phillip Alan Trantham | Common Stock | 1,250 |
| Phillip F Groves | Common Stock | 1,500 |
| Phillip Gregory Kelley | Common Stock | 2,941 |
| Phillip L Skidmore | Common Stock | 850 |
| Phyllis L. Frisbey | Common Stock | 4,412 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Phyllis Shelton | Common Stock | 499 |
| Pilotage Capital Corp. Suite 207 – 1425 Marine Drive West Vancouver, B.C. Canada, V7T 1B9 | Common Stock | 496,000 |
| R.F. Saltz & Sharon E. Saltz JTROS | Common Stock | 15,554 |
| R.L. Bailey | Common Stock | 11,750 |
| Ralph H. Sexton, Jr. | Common Stock | 750 |
| Randall E. Durham | Common Stock | 1,059 |
| Randy and Beth Dillon | Common Stock | 1,176 |
| Randy L. Shepherd | Common Stock | 4,441 |
| Ray J. McMinn & Mary Ann McMinn | Common Stock | 3,571 |
| Raymond D. Green Revocable Trust | Common Stock | 17,857 |
| Raymond M. & Cynthia JD Shea | Common Stock | 2,941 |
| Raymond P Brown | Common Stock | 2,750 |
| Rebecca Rankin | Common Stock | 1,361 |
| Rees Beckman | Common Stock | 250 |
| Regina D. Frisbey | Common Stock | 10,000 |
| Regina Smith | Common Stock | 294 |
| Richard A Guthy and Denise A. Guthy | Common Stock | 5,900 |
| Richard A. Greene | Common Stock | 1,000 |
| Richard A. Yager | Common Stock | 300 |
| Richard Bevan-Thomas | Common Stock | 588 |
| Richard D & Carol G Minker | Common Stock | 8,603 |
| Richard E. Bostrom | Common Stock | 588 |
| Richard G. Kibbey III MD 6 | Common Stock | 13,529 |
| Richard Gutzky | Common Stock | 5,000 |
| Richard J & Janna D Lutovsky | Common Stock | 2,941 |
| Richard J. Swanson Revocable Trust | Common Stock | 19,964 |
| Richard J. Swanson Revocable Trust | Common Stock | 15,000 |
| Richard M. Scibelli | Common Stock | 25,089 |
| Richard R. Scriven | Common Stock | 2,500 |
| Richard Reynolds | Common Stock | 294 |
| Richard Stone | Common Stock | 4,441 |
| Rita Ann Meeks MD | Common Stock | 87,311 |
| RLR Investment Partners LLC 621 Lynnhaven Parkway, Suite 400 Virginia Beach, VA 23452 | Common Stock | 178,571 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Robby L. Russell | Common Stock | 625 |
| Robert & Gail Burch | Common Stock | 1,000 |
| Robert A. Barker | Common Stock | 1,175 |
| Robert A. Cioe | Common Stock | 2,500 |
| Robert and Jamie Topp | Common Stock | 250 |
| Robert Bower Peterson, Jr. | Common Stock | 10,862 |
| Robert C. Mosher | Common Stock | 500 |
| Robert D Chapin Trustee of Trust Agreement dated 7/7/98, as amended | Common Stock | 2,941 |
| Robert E. and Aida Dungan | Common Stock | 5,220 |
| Robert Ernest Boykin | Common Stock | 2,941 |
| Robert Harrington | Common Stock | 750 |
| Robert Hempstead Heyer, as Trustee of the Gary and Karen Price Irrevocable Trust | Common Stock | 25,000 |
| Robert J. Ableidinger Living Trust dated 2/28/1991 | Common Stock | 12,256 |
| Robert Kent Smitherman | Common Stock | 25,000 |
| Robert L Merrill, Jr. | Common Stock | 39,854 |
| Robert L Merrill, Jr. DDS PA Profit Sharing Plan | Common Stock | 7,941 |
| Robert L. Gentry | Common Stock | 6,250 |
| Robert M. Sherrill / Della M. Sherrill JTWROS | Common Stock | 43,655 |
| Robert P. Ingle | Common Stock | 1,250 |
| Robert R. Cioe | Common Stock | 3,000 |
| Robert Spear | Common Stock | 600 |
| Robert Stephens | Common Stock | 5,000 |
| Robert W. Williams | Common Stock | 12,500 |
| Robin S. Baum | Common Stock | 285 |
| Rod Investments ATI, LLC | Common Stock | 3,088 |
| Roderick Hudgins | Common Stock | 55,590 |
| Rodney D. Price | Common Stock | 625 |
| Roger Alan Starling Jr. | Common Stock | 5,357 |
| Ron Buker | Common Stock | 3,529 |
| Ronald A. Weidenheft | Common Stock | 5,000 |
| Ronald Butler | Common Stock | 750 |
| Ronald G. Trammel | Common Stock | 2,500 |
| Ronald J. Lombardo | Common Stock | 1,500 |
| Ronald L. Malvar | Common Stock | 625 |
| Ronda G Clark TTEE | Common Stock | 3,000 |
| Ronnie and Brenda Smith | Common Stock | 294 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Rosenstein Investment Company LP 2103 Cross Creek Court Arlington, TX 76107 | Common Stock | 60,000 |
| Ross Enterprise and Investment Inc 1623 Hidden Springs Path Round Rock, TX 78665 | Common Stock | 4,000 |
| Ryan J. Lombardo | Common Stock | 1,000 |
| Sabrina Gilkey | Common Stock | 588 |
| Sam Finklea | Common Stock | 294 |
| Sam T. Taylor | Common Stock | 2,065 |
| Samuel Joseph Austin | Common Stock | 500 |
| Samuel R Freeborn III | Common Stock | 1,500 |
| Samuel R Freeborn III / Joan G Freeborn | Common Stock | 1,000 |
| Sandra Lastein | Common Stock | 1,176 |
| Sandra Lee | Common Stock | 2,075 |
| Sandra Woods | Common Stock | 3,500 |
| Sanford J. Lewis & Alice E. Lewis | Common Stock | 5,941 |
| Sara H. Lavelle | Common Stock | 950 |
| Sara Lavelle & Francis Lavelle | Common Stock | 2,941 |
| Scarlett Sloane | Common Stock | 5,071 |
| Scott A. Thurman | Common Stock | 4,941 |
| Scott Andrew | Common Stock | 2,500 |
| Scott Bass | Common Stock | 2,500 |
| Scott Kilgore | Common Stock | 6,250 |
| Scott M. Strayer | Common Stock | 2,941 |
| Scott Sirkin | Common Stock | 2,941 |
| Scoville Roberts | Common Stock | 3,316 |
| Sea Chaine, LLC 2201 SE 30th Ave, Suite 202, Ocala FL 34471 | Common Stock | 1,618 |
| Sea Chaine, LLC 2201 SE 30th Ave, Suite 202, Ocala FL 34471 | Common Stock | 1,470 |
| Sean Livingston | Common Stock | 400 |
| Sean R. Detty | Common Stock | 500 |
| Sergio G. Moreira, Jr. | Common Stock | 16,704 |
| Seth A Harrison | Common Stock | 1,500 |
| Shanna & Brandon S. Carter | Common Stock | 300 |
| Sharon Elaine Winter | Common Stock | 250 |
| Sharon L. Kellough | Common Stock | 294 |
| Sharon Ritchey | Common Stock | 7,142 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Sharon Ruth Fox | Common Stock | 3,000 |
| Sheila B Ahler IRA | Common Stock | 2,941 |
| Sheila M. Pelle | Common Stock | 600 |
| Sherry Sorge | Common Stock | 1,000 |
| SMBA Investments, LTD. 3556 NE Stallings Drive, #101, Nacogdoches, TX 75965 | Common Stock | 14,285 |
| Smith 401K Plan Trust 1639 CR 217 Nacogdoches TX 75965 | Common Stock | 7,500 |
| Spear & Wills Builders Inc. 30 Carver Drive Fletcher NC 28732 | Common Stock | 1,500 |
| Stace & Sheila Horine | Common Stock | 5,000 |
| Stace Horine | Common Stock | 27,961 |
| Stacey Woody & Kyle Keith | Common Stock | 500 |
| Stadelli Family Trust | Common Stock | 2,941 |
| Stanley and Bonnie Riordan Living Trust | Common Stock | 2,941 |
| Stanley Binder | Common Stock | 1,250 |
| Stelios and Stavroula Boussins | Common Stock | 500 |
| Stelios Boussios | Common Stock | 300 |
| Stephanie and Richard Allinson | Common Stock | 15 |
| Stephen C. Sloan | Common Stock | 3,750 |
| Stephen Cucchi / Hope Cucchi | Common Stock | 500 |
| Stephen D. Hill | Common Stock | 600 |
| Stephen Eargle | Common Stock | 5,900 |
| Stephen J McNamara | Common Stock | 500 |
| Stephen J. and Michelle R. Arnold | Common Stock | 3,500 |
| Stephen J. Arnold | Common Stock | 441 |
| Stephen Jacob Woody | Common Stock | 7,955 |
| Stephen Preis | Common Stock | 500 |
| Stephen Slater | Common Stock | 294 |
| Stephens L Baldwin Jr | Common Stock | 300 |
| Steve & Debra Kawaguchi | Common Stock | 5,750 |
| Steve & Rebecca McDowell | Common Stock | 500 |
| Steve Gale & Mary Jaeger-Gale | Common Stock | 300 |
| Steve Holt | Common Stock | 28,000 |
| Steve J Stroud & Cathy Kyd | Common Stock | 1,200 |
| Steve L. Woolard | Common Stock | 1,000 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Steve W. Nesbitt | Common Stock | 5,882 |
| Steve Woody | Common Stock | 1,980,975 |
| Steve Woody | Common Stock | 3,125.00 |
| Steve Woody | Common Stock | 3,125.00 |
| Steven Currie | Common Stock | 6,875 |
| Steven E. Johnson | Common Stock | 3,235 |
| Steven Henrikson | Common Stock | 46,986 |
| Steven R. Ferguson | Common Stock | 1,176 |
| Steven R. Neuliep | Common Stock | 2,750 |
| Steven S. Seneker & Roslyn R. Seneker ⁶ | Common Stock | 3,000 |
| Steven T. and Frances C. Aceto, JTWRS Aceto Law Office P.A. 80 Central Avenue Asheville NC 28801 | Common Stock | 293 |
| Stirling Medical Solutions Ltd. 186 Indian Road Toronto, ON M6R2W3 | Common Stock | 6,250 |
| Stroup Family Limited Partnership 103 Marsh Spring Ct Greer, SC 29650 | Common Stock | 470 |
| Susan C. Duckett | Common Stock | 294 |
| Susan L. Ableidinger ⁷ | Common Stock | 3,125 |
| Susan L. Ableidinger Revocable Trust Dated 12/21/94 | Common Stock | 6,250 |
| Susan L. Campana | Common Stock | 11,765 |
| Susan M. Andrew | Common Stock | 36,828 |
| Susan M. Shanor | Common Stock | 6,250 |
| Susan Raines Robert E Raines | Common Stock | 500 |
| Suzanne L. Kuhns (Subscriber) / Richard M. Kuhns, III | Common Stock | 3,250 |
| Suzanne Medd | Common Stock | 235 |
| Suzanne Woody Medd | Common Stock | 8,790 |
| Sydney Beckman | Common Stock | 250 |
| T Michael Johnson Living Trust DTD 12-19-2008 | Common Stock | 1,000 |
| T. Michael Johnson | Common Stock | 2,941 |
| Takia D. Smith | Common Stock | 1,286 |
| Tamara N. McIntosh | Common Stock | 3,677 |
| Tambria M Turco | Common Stock | 2,941 |
| Tammy Ferguson | Common Stock | 2,353 |
| Tammy S. Rice | Common Stock | 294 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Taylor and Christopher Ramos | Common Stock | 12 |
| Terence S. & Cathy S. Roche | Common Stock | 5,000 |
| Teresa Cantrell | Common Stock | 5,000 |
| Terri A. King | Common Stock | 1,000 |
| Terri Gold | Common Stock | 5,882 |
| Terri Goodman | Common Stock | 13,078 |
| Terri Goodman & Associates | Common Stock | 9,357 |
| Terry and Robbie Capps | Common Stock | 500 |
| Terry Neill c/o Southeast Realty & Investment Co Sole Proprietorship 9300 Emerald Coast Pkwy Sandestin – Bayside Miramar Beach, FL 32550 | Common Stock | 750 |
| Terry O. Fields | Common Stock | 2,000 |
| Terry Powell | Common Stock | 2,000 |
| Terry Ray White | Common Stock | 2,000 |
| Terry W Hunt | Common Stock | 7,143 |
| The Benjamin Cone TUW FBO Benjamin Cone III SunTrust Bank Physical Custody Dept 303 Peachtree Street NE, 15th Floor Atlanta, GA 30308 | Common Stock | 12,224 |
| The Benjamin Keith Black Living Trust | Common Stock | 2,631 |
| The Camille L. Laughter Living Trust | Common Stock | 3,125 |
| The Entrust Group, FBO Kathleen Drysdale, IRA #60-01376 12502 Carriage Hill Dr. Houston, TX 77077 | Common Stock | 18,333 |
| The Entrust Group, FBO Kathleen Drysdale, IRA #60-01376 12502 Carriage Hill Dr. Houston, TX 77077 | Common Stock | 3,750 |
| The Living Trust of Robert B. Thompson | Common Stock | 5,000 |
| The Stephen G Petilli Revocable Living Trust | Common Stock | 5,000 |
| Thomas & Nancy Sheridan | Common Stock | 7,353 |
| Thomas C Arnold | Common Stock | 5,882 |
| Thomas E. Cooper | Common Stock | 6,500 |
| Thomas H Tanner, Jr. | Common Stock | 2,950 |
| Thomas J. Marth and Alicia R. Marth | Common Stock | 5,882 |
| Thomas P. Gerber | Common Stock | 6,250 |
| Thomas Roth | Common Stock | 3,500 |
| Thomas Ryan | Common Stock | 15,810 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| Thomas W Morningstar, Jr | Common Stock | 3,125 |
| Thomas Wayne and Carolyn S Hollis | Common Stock | 3,750 |
| Thompson A. Burriss | Common Stock | 2,941 |
| Thorche, LLC 501 College Street PO Box 588 Clinton, NC 28328 | Common Stock | 7,500 |
| Tim Capps | Common Stock | 294 |
| Timothy Alderson | Common Stock | 2,500 |
| Timothy J. Vanderkwaak MD | Common Stock | 2,941 |
| Timothy Mark Goodson | Common Stock | 3,750 |
| Timothy Max Clubb 2 | Common Stock | 500 |
| Timothy S. Rice and Stuart H. Rice | Common Stock | 18,382 |
| Timothy S. Rice | Common Stock | 1,000 |
| Timothy W. Rader | Common Stock | 2,000 |
| Tina Cathey | Common Stock | 1,700 |
| Tina S. Evans | Common Stock | 350 |
| Tobias A Weas | Common Stock | 2,000 |
| Todd Armstrong | Common Stock | 7,500 |
| Todd Lee Stephens | Common Stock | 4,250 |
| Todd Morris | Common Stock | 4,650 |
| Todd N. Pemberton | Common Stock | 5,882 |
| Todd P. Graham | Common Stock | 48,250 |
| Tommy Buckner | Common Stock | 400 |
| Tony Mammen 4 | Common Stock | 11,323 |
| Torlen L. Wade | Common Stock | 500 |
| Traci Calabrese-Meyer REV TR David J. Meyer TTEE Traci Calabrese Meyer TTEE U/A DTD 08/31/2007 | Common Stock | 18,214 |
| Traci Calabrese-Meyer TTEE u/w Helene L. Fligez Testamentary Trust FBO Zachary Fligez 3/29/2012 | Common Stock | 7,500 |
| Trena B. White | Common Stock | 500 |
| Troy D. Gehrke | Common Stock | 4,300 |
| Twin Hills Investment 7201 Mira Mar Place Wake Forest, NC 27587 | Common Stock | 2,500 |
| Two Oaks Family Limited Partnership / James M. Wilson Jr 15 Nethermead Drive Asheville, NC 28803 | Common Stock | 250 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| UCAN Investments 2013, LLC 101 N. Main St. Suite 315, Greenville, SC 29601 | Common Stock | 243,373 |
| V & J Financial Services LLC 3415 N Ocean Dr. #605 Hollywood, FL 33019 | Common Stock | 1,250 |
| V.B. Barker | Common Stock | 5,000 |
| Vernon Brooks Stewart & M. Kristin Chally | Common Stock | 5,000 |
| Vernon Gary Price | Common Stock | 37,647 |
| Vicki Hughel | Common Stock | 1,500 |
| Vickie W. Lovin | Common Stock | 2,500 |
| Victor L. Smith | Common Stock | 712 |
| Virgil & Reba Gibson | Common Stock | 2,000 |
| Virgil A. Pate MD | Common Stock | 13,529 |
| Virgil Gibson | Common Stock | 8,750 |
| Virginia S. Nearn | Common Stock | 2,500 |
| Vivian Price V. Gary Price POA | Common Stock | 1,250 |
| W. Edwin Holmes | Common Stock | 5,882 |
| W. Gordon Smyth, D.V.M. | Common Stock | 500 |
| Wade L. Lowry | Common Stock | 24,095 |
| Walter Scott Raxter & Walter R. Raxter, JT1 | Common Stock | 7,142 |
| Wander Living Trust William & Jennifer Wander, Trustees | Common Stock | 2,941 |
| Ward Enterprises 3 Bideford Row Asheville, NC 28803 | Common Stock | 2,500 |
| Wayne and Patricia Jewsbury, JTWROS5 | Common Stock | 294 |
| Weinstein Tony Salomon | Common Stock | 5,738 |
| Wells Fargo Advisors FBO Cathy Rezak IRA 173 Pinkerton Corner Fairview, NC 28730 | Common Stock | 2,941 |
| Wells Fargo Advisors FBO Cathy Rezak IRA 173 Pinkerton Corner Fairview, NC 28730 | Common Stock | 2,941 |
| Wells Fargo Advisors FBO Edward A Ellington 88 Stratford Road Asheville, NC 28804 | Common Stock | 11,067 |
| Wells Fargo Advisors FBO Edward A Ellington 88 Stratford Road Asheville, NC 28804 | Common Stock | 11,765 |
| Wells Fargo Advisors FBO Micheal D Farlow 1005 Windsor Drive Asheville, NC 28803 | Common Stock | 2,941 |

| Shareholder Name and Address | Security Type | Number of Shares |
|---|---------------|------------------|
| Wells Fargo Advisors FBO Micheal D Farlow 1005 Windsor Drive Asheville, NC 28803 | Common Stock | 2,941 |
| Wells Fargo Advisors FBO Robert B Stazer 9284 Breno Drive Ft. Myers, FL 33913 | Common Stock | 2,942 |
| Wells Fargo Advisors FBO Robert B Stazer 9284 Breno Drive Ft. Myers, FL 33913 | Common Stock | 2,942 |
| Wells Fargo Advisors FBO Robert B Stazer 9284 Breno Drive Ft. Myers, FL 33913 | Common Stock | 2,942 |
| Wells Fargo Advisors FBO Robert B Stazer 9284 Breno Drive Ft. Myers, FL 33913 | Common Stock | 2,939 |
| Wells Fargo Advisors FBO Terry White IRA # 14943504 175 Havenwood Trail Dobson, NC 27017 | Common Stock | 2,941 |
| Wells Fargo Advisors FBO Terry White IRA 1 N Jefferson Ave Saint Louis, MO 63103 | Common Stock | 2,000 |
| Wells Fargo Rollover IRA FBO of Stace Horine 53 Cedar Hill Drive, Asheville, NC 28803 | Common Stock | 21,766 |
| Wells Fargo Rollover IRA FBO Phyllis Shelton 6 Crowningway Drive, Asheville, NC 28804 | Common Stock | 5,883 |
| Wells Fargo Rollover IRA FBO Robert Stephens 34 Village East Court, Asheville, NC 28805 | Common Stock | 5,000 |
| Wells Fargo Rollover IRA FBO Zak Foy 186 Tacoma Circle, Asheville, NC 28801 | Common Stock | 2,000 |
| Wendy W. Leng | Common Stock | 7,500 |
| WFCS as Custodian FBO Daniel K. Collins IRA | Common Stock | 5,000 |
| WFCS as Custodian FBO David T Crouch IRA | Common Stock | 7,500 |
| WFCS as Custodian FBO Lance Larkin IRA | Common Stock | 2,000 |
| WFCS as Custodian FBO Luann M. Labeledz IRA | Common Stock | 5,000 |
| WFCS as Custodian FBO Robert Stephens IRA | Common Stock | 1,600 |
| WFCS as Custodian FBO Roger H. Aiken IRA | Common Stock | 12,500 |
| WFCS as Custodian FBO Zackary Foy IRA | Common Stock | 650 |
| WFCS as Custodian for Eleanor M. Cippel IRA | Common Stock | 680 |
| William A. Sampson | Common Stock | 500 |
| William B McCaulley | Common Stock | 1,500 |
| William Beliveau | Common Stock | 14,706 |
| William Crampton | Common Stock | 2,125 |
| William D. Gray | Common Stock | 3,750 |

| Shareholder Name and Address | Security Type | Number of Shares |
|--|---------------|------------------|
| William G. and Patricia C. Grogan | Common Stock | 588 |
| William J Trull Jr. & Elizabeth A Graham, JTWROS | Common Stock | 2,941 |
| William J. Steed, Jr | Common Stock | 600 |
| William K. Knauf | Common Stock | 56,818 |
| William L & Cathy Bowman Chambers | Common Stock | 8,823 |
| William L. Chambers Trustee and Cathy Bowman Chambers Trustee | Common Stock | 5,882 |
| William L. Hickerson | Common Stock | 7,857 |
| William Logan Woody | Common Stock | 26,705 |
| William M Newman | Common Stock | 750 |
| William M. and Cynthia M. Newman | Common Stock | 1,000 |
| William Marbet | Common Stock | 750 |
| William Matthews Tisdale and Elizabeth Hart Tisdale | Common Stock | 7,142 |
| William Murdock & Robin Murdock | Common Stock | 14,285 |
| William N & Nicole M McLean | Common Stock | 2,941 |
| William N Dorfman | Common Stock | 2,720 |
| William N. Waddell & Mary P. Waddell | Common Stock | 35,729 |
| William Pomeroy IRA, Oppenheimer & Co Cust. 9 Stuyvesant Crescent Asheville, NC 28803 | Common Stock | 2,941 |
| William S. Kinard, Jr. | Common Stock | 1,333 |
| William Saylor | Common Stock | 1,000 |
| William T Houston | Common Stock | 3,500 |
| William W. Osborne | Common Stock | 10,500 |
| William W. Owens | Common Stock | 2,000 |
| William W. White, Jr. | Common Stock | 5,500 |
| William Waelke | Common Stock | 5,683 |
| Willie Harper | Common Stock | 257 |
| Wilma M Sherrill Revocable Trust | Common Stock | 295 |
| Wilson Properties, Inc. 401K Plan James M. Wilson Jr., Trustee 15 Nethermead Drive Asheville, NC 28803 | Common Stock | 1,750 |
| WJ Management, LLC 12392 N, Fallen Shadows Drive Marana, AZ 85658 | Common Stock | 5,000 |
| Wowam LLC Solo 401k Plan and Trust; John A. Vann, TTEE 3207 Skylane Dr Suite 108 Carrollton, TX 75006 | Common Stock | 1,250 |

| Shareholder Name and Address | Security Type | Number of Shares |
|------------------------------|---------------|------------------|
| Zack Kemp | Common Stock | 500 |
| Zhi Zhang | Common Stock | 3,000 |

IN THE UNITED STATES BANKRUPTCY COURT
FOR THE DISTRICT OF DELAWARE

| | | |
|----------------------|---|--------------------------|
| In re: |) | Chapter 11 |
| AVADIM HEALTH, INC., |) | Case No. 21- ____ (____) |
| Debtor. |) | |
| |) | |

CERTIFICATION OF CREDITOR MATRIX

Pursuant to Rule 1007-2 of the Local Rules of Bankruptcy Practice and Procedure for the United States Bankruptcy Court for the District of Delaware, the above captioned debtor and its affiliated debtors in possession (collectively, the “Debtors”)¹ hereby certify that the *Creditor Matrix* submitted herewith contains the names and addresses of the Debtors’ creditors. To the best of the Debtors’ knowledge, the *Creditor Matrix* is complete, correct, and consistent with the Debtors’ books and records.

The information contained herein is based upon a review of the Debtors’ books and records as of the petition date. However, no comprehensive legal and/or factual investigations with regard to possible defenses to any claims set forth in the *Creditor Matrix* have been completed. Therefore, the listing does not, and should not, be deemed to constitute: (1) a waiver of any defense to any listed claims; (2) an acknowledgement of the allowability of any listed claims; and/or (3) a waiver of any other right or legal position of the Debtors.

¹ The Debtors, along with the last four (4) digits of each Debtor’s federal tax identification number are: Avadim Health, Inc. (8411); Avadim Health IP, Inc. (7594); Bionome Properties Corp. (6483); Quality Assurance Associates, Inc. (5613); and Relion Manufacturing, Inc. (0430). The Debtors’ business address is 81 Thompson Street, Asheville, NC 28803..

Fill in this information to identify the case:Debtor name Avadim Health, Inc.United States Bankruptcy Court for the: DISTRICT OF DELAWARE

Case number (if known) _____

☐ Check if this is an amended filing**Official Form 202****Declaration Under Penalty of Perjury for Non-Individual Debtors**

12/15

An individual who is authorized to act on behalf of a non-individual debtor, such as a corporation or partnership, must sign and submit this form for the schedules of assets and liabilities, any other document that requires a declaration that is not included in the document, and any amendments of those documents. This form must state the individual's position or relationship to the debtor, the identity of the document, and the date. Bankruptcy Rules 1008 and 9011.

WARNING -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

Declaration and signature

I am the president, another officer, or an authorized agent of the corporation; a member or an authorized agent of the partnership; or another individual serving as a representative of the debtor in this case.

I have examined the information in the documents checked below and I have a reasonable belief that the information is true and correct:

- ☐ *Schedule A/B: Assets-Real and Personal Property* (Official Form 206A/B)
- ☐ *Schedule D: Creditors Who Have Claims Secured by Property* (Official Form 206D)
- ☐ *Schedule E/F: Creditors Who Have Unsecured Claims* (Official Form 206E/F)
- ☐ *Schedule G: Executory Contracts and Unexpired Leases* (Official Form 206G)
- ☐ *Schedule H: Codebtors* (Official Form 206H)
- ☐ *Summary of Assets and Liabilities for Non-Individuals* (Official Form 206Sum)
- ☐ *Amended Schedule*
- ☒ *Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders* (Official Form 204)
- ☒ Other document that requires a declaration **Corporate Ownership Statement, List of Equity Holders, Creditor Matrix Verification**

I declare under penalty of perjury that the foregoing is true and correct.

Executed on May 31, 2021X /s/ Keith Daniels

Signature of individual signing on behalf of debtor

Keith Daniels

Printed name

Chief Restructuring Officer

Position or relationship to debtor